

Originally published as:

Aibinu, I., Pfeifer, Y., Peters, F., Ogunsola, F., Adenipekun, E., Odugbemi, T., Koenig, W. Emergence of bla CTX-M-15, qnrB1 and aac(6')-ib-cr resistance genes in Pantoea agglomerans and enterobacter cloacae from Nigeria (sub-Saharan Africa) (2012) Journal of Medical Microbiology, 61 (1), pp. 165-167.

### DOI: 10.1099/jmm.0.035238-0

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1	Emergence of <i>bla<sub>CTX-M-15</sub></i> , <i>qnrB1</i> , and the <i>aac(6')-Ib-cr</i> resistance genes in <i>Pantoea agglomerans</i>				
2	and Enterobacter cloacae from Nigeria (sub-Saharan Africa)				
3	I.Aibinu <sup>1, 4</sup> , Y. Pfeifer <sup>2</sup> , F. Peters <sup>3</sup> , F. Ogunsola <sup>1, 3</sup> , E. Adenipekun <sup>1</sup> , T. Odugbemi <sup>1, 3</sup> and W.				
4	Koenig <sup>4</sup>				
5	Department of Med. Microbiology and Parasitology, University of Lagos, Nigeria <sup>1</sup> ,				
6	Nosocomial Infections, Robert Koch Institute, Wernigerode, Germany <sup>2</sup> ,				
7	Department of Med. Microbiology and Parasitology, Lagos University Teaching Hospital <sup>3</sup> ,				
8	Institute for Medical Microbiology, OVGU, Magdeburg, Germany <sup>4</sup>				
9	Corresponding Author's Current Address:				
10	Ibukun E. Aibinu (PhD)				
11	Department of Med. Microbiology and Parasitology,				
12	College of Medicine,				
13	P.M.B 12003				
14	University of Lagos, Nigeria				
15	E-mail: ibaibinu@yahoo.com				
16	Keywords:				
17	Antimicrobial resistance, beta-lactamases, ESBL, fluoroquinolone, Gram-negative bacilli				
18	Running Title:				
19	CTX-M-15 and PMQR in P. agglomerans and Enterobacter				
20	Contents Category for the Paper: Correspondence				

### 22 Abstract

23 Besides hyper-production of chromosomal AmpC  $\beta$ -lactamases, the expression of plasmid-encoded extended-spectrum  $\beta$ -lactamases (ESBL) in *Enterobacter* spp has increased in recent years. In this study, 24 we characterized 10 clinical isolates of Enterobacter spp and 1 isolate of Pantoea agglomerans, with 25 respect to the occurrence of ESBL- and plasmid-mediated quinolone resistance (PQMR) genes. Species 26 identification and antimicrobial susceptibility testing were performed by the Vitek 2 system, broth 27 microdilution, agar diffusion and Etests methods. ESBL-, PQMR- and other resistance genes were 28 detected using PCR and sequencing. Strain typing was done by ERIC-2 PCR. The P. agglomerans and 29 an Enterobacter cloacae isolate were found to harbour ESBL gene bla<sub>CTX-M-15</sub>, PQMR genes qnrB and 30 31 aac-(6')-Ib-cr; trimethoprim/sulfamethoxazole resistance genes dfrA14/Sul1 and tetracycline resistance genes (tet). In addition, class 1 and 2 integrons were found in these 2 isolates. The result of the ERIC-2 32 PCR showed distinct patterns indicating heterogeneity of all 10 isolates. This report is the first 33 description of CTX-M-15 production and the emergence of PMQR in P. agglomerans and E. cloacae 34 isolates from Nigeria. Transfer of resistance genes by conjugation and the presence of mobile elements 35 demonstrate the risk of further dissemination into other Enterobacteriaceae which may result in limited 36 37 treatment options.

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#### CORRESPONDENCE

Resistance of Enterobacter spp. to expanded-spectrum cephalosporins is known to be mediated by the 44 45 hyperproduction of chromosomal AmpC β-lactamases. However, the additional expression of a plasmidencoded extended-spectrum beta-lactamase (ESBL) has become more prevalent worldwide in recent 46 years (Ko et al., 2008). In Nigeria, ESBL-production in Enterobacter spp has been associated with 47 TEM- and SHV-type ESBL (Aibinu et al., 2003; Kasap et al., 2010). Other β-lactamase resistance 48 determinants, conferring resistance to extended spectrum cephalosporins, such as *bla*<sub>VEB</sub>, *bla*<sub>OXA</sub> and 49 bla<sub>CMY</sub> have just recently been reported in Nigerian Providencia spp strains. (Aibinu et al., 2011). In 50 51 addition, the worldwide report of the spread of CTX-M-15 (Canto'n and Coque, 2006), has emerged in 52 Nigeria, having being identified in only Klebsiella spp and E coli (Soge et al., 2006; Olowe et al., 2010). There is no documented report yet on ESBL-production mediated by bla<sub>CTX-M-15</sub> or the association of the 53 spread of plasmid-mediated quinolone resistance (PMQR) determinants in Enterobacter spp from 54 Nigeria. This study reports the phenotypic and genotypic characteristics of 10 clinical isolates of 55 Enterobacter spp and 1 isolate of Pantoea agglomerans with respect to the occurrence of CTX-M ESBL 56 and other different resistance genes. The Enterobacter spp consisted of Enterobacter asburiae (n=1), 57 Enterobacter aerogenes (n=1), Enterobacter cloacae (n=8) and one isolate of Pantoea agglomerans, 58 59 representing 9.5% of all Enterobacteriaceae isolated within a period of 6 months from October 2008 to 60 March 2009 at Lagos University Teaching Hospital (LUTH), a tertiary hospital, in Nigeria. Enterobacter agglomerans had previously been renamed Pantoea agglomerans to reflect its genetic distance from the 61 62 genus Enterobacter (Sanders and Sanders, 1997).

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Bacterial species identification was performed using VITEK 2 system (VITEK2 GN-card; bioMérieux, 64 France). Antimicrobial susceptibility testing was determined according to the guidelines of the Clinical 65 Laboratory Standards Institute (CLSI, 2010) by broth microdilution method and VITEK2 AST-N13 card. 66 Quality control strain used was Escherichia coli ATCC 25922 (Oxoid UK). Etest strips containing 67 cefotaxime in combination with clavulanic acid; and the double disk synergy tests (ESBL/AmpC ID 68 D68C, Mast Group) were used for phenotypic detection and differentiation of both ESBL and AmpC-69 production. Broth mate conjugation assays were performed as described by Pfeifer et al. (2009). 70 Different ESBL genes (*bla*<sub>TEM</sub>, *bla*<sub>SHV</sub>, *bla*<sub>CTX-M</sub>), plasmid-mediated quinolone resistance (PMQR) genes 71 (qnr, aac(6')-Ib-cr), class 1 and 2 integron with tetracycline and trimethoprim resistance genes were 72 73 detected by PCR as previously described (Ng et al., 2001; Frech et al., 2003; Boualle'gue-Godet et al., 74 2005; Cano et al., 2009; Jin and Ling, 2009). All positive PCR products were sequenced using the ABI Prism 3100 genetic analyser (Applied Biosystems). Additionally, sequence analysis of the quinolone 75 resistance determining region (QRDR) of genes gyrA and parC were performed (Cano et al. (2009). 76 Epidemiological relationship between the 11 isolates was analysed by ERIC-2 PCR (Versalovic et al., 77 1999). 78

Phenotypical analysis of the 11 isolates of this study revealed that 2 isolates (*E. cloacae* 213K *and P. agglomerans* 69K) were ESBL-producers. The ESBL gene *bla*<sub>CTX-M-15</sub> gene was identified in both isolates. Isolate *P. agglomerans* 69K was isolated from the blood culture of an adult male patient admitted for sepsis and diagnosed HIV type-1 positive on admission. The patient was treated empirically with ceftriaxone and was referred to another clinic for follow-up on HIV treatment. Several weeks later, the patient was rushed back to the emergency unit of LUTH and examination showed the patient was

85 brought in dead.

Isolate E. cloacae 213K, was recovered from the urine sample of an adult female patient attending the 86 outpatient clinic of LUTH. She was diagnosed with a urinary tract infection and treated empirically with 87 88 ceftriaxone. Two different urine cultures yielded each time, 2 isolates (E. coli and E. cloacae) with both isolate harbouring the gene bla<sub>CTX-M-15</sub>. The 2 ESBL study isolates (P. agglomerans 69K and E. cloacae 89 90 213K) were multiply resistant to different antibiotics including ampicillin, cefepime, cefoxitin, aztreonam, ceftazidime, cefotaxime, gentamicin, tobramycin, levofloxacin, ciprofloxacin, tetracycline 91 and sulfmethoxazole/trimethoprim. Both isolates harboured the class 1 and 2 integrons. The identified 92 gene cassettes within the class 1 integrons included aminoglycoside resistance genes (aadA1, aph and 93 94 aac-(6')-Ib), sulphonamide resistance genes (sull) and the chloramphenicol resistance gene (catl) in the *P. agglomerans* isolate (Table 1). The presence of the insertion sequence ISEcp1 upstream of the bla<sub>CTX</sub>. 95 M-15 gene was confirmed by PCR (Baraniak et al., 2002). Additionally, both isolates harboured the 96 97 PQMR gene *qnrB1* and *aac-(6')-lb-cr*. The tetracycline resistance gene *tet*(K), encoding an efflux pump, was identified in P. agglomerans 69K while E. cloacae 213K harboured tet(A) and tet(E) resistance 98 99 determinants. By conjugation experiments, plasmids of >90kbp size were successfully transferred into *E*. 100 coli J53 recipients. The E. coli J53 transconjugants had resistance pattern similar to that of the donor 101 strain but remained susceptible to cefoxitin and showed MIC reduction for ciprofloxacin from 8 to 2 102 µg/ml (69K) and from 4 to 2µg/ml (213K), respectively. The transconjugants displayed co-resistance to 103 gentamicin with MIC of 8µg/ml for both strains and their transconjugants. PCR and sequence analysis, 104 showed the E. coli J53 transconjugants harbored bla<sub>CTX-M-15</sub>, dfrA14, qnrB1, the aac-(6')-Ib (encoding aminoglycoside modifying enzyme) and the aac-(6')-lb-cr variant. QRDR analysis revealed that the P. 105

106 *agglomerans* 69K isolate had a mutation at codon 87 but no mutation at codon 80 of the topoisomerase 107 IV gene *parC* (nalidixic acid MIC=  $32\mu g/ml$ ). In the QRDR of the *E. cloacae* 213K isolate, no *gyrA* or 108 *parC* mutation was observed (nalidixic acid MIC= $32\mu g/ml$ ).

The other nine *Enterobacter* spp isolates in the present study were susceptible to many antibiotics and were non-ESBL-producers. They all harboured the class 1 integron. The Class 2 integron was additionally found in 45% (n=4) of the isolates. Resistance to trimethoprim/sulfamethoxazole was associated with the presence of *sul1* (100%) and either a *dfrA1* (72.7%), or *dfrA14* (54.6%) or both genes (36.4%) (Table 1). The *tet*(A) and *tet*(E) *genes* were the predominant *tet* gene occurring. The strain typing by ERIC-2 PCR revealed distinct patterns indicating heterogeneity of all *Enterobacter* spp isolates.

116 We report in this study, the first description of ESBL-type CTX-M-15 in P. agglomerans and E. cloacae isolates from Nigeria. This study showed a low occurrence of Enterobacter spp in clinical infection 117 118 during this study period (9.5%) and the rate of prevalence of ESBL-production was 18.2% (n=2). 119 Unfortunately, it was not possible to determine whether the ESBL- and PMQR genes in the isolates were 120 hospital- or community-acquired because clinical data showed no record of previous hospital admission 121 for the patients. The result of this study furthermore suggests, that the association of CTX-M-15, PQMR 122 determinants qnrB1, aac-(6')-lb-cr and other resistance genes in addition to mobile elements (ISEcp1, 123 class 1 and 2 integrons) may facilitate the rapid dissemination of antimicrobial resistances into other 124 Gram-negative bacteria in Nigeria limiting the choice of antibiotic therapy.

The nucleotide sequences of resistance genes in *P. agglomerans* 69K have been deposited in the
GenBank nucleotide sequence database under accession numbers GU990082-GU990087.

# 127 Funding

128 This work was funded by the Alexander von Humboldt Foundation Germany.

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# Determinants

	Specimen ( clinical	Antibiotic	Trimethoprim	ESBL, PMQR,
Species	condition)	Resistance	and Tetracycline	Integrons and
		Phenotype	Genes	Resistance Gene
				Cassettes
E. aerogenes (28K)	High Vaginal Swab	amp, ams, cet, cfz,	tet(A)	Class 1 integron,
	(copious vaginal	tet		$qac\Delta E$ , sul1
	discharge)			
E. asburiae (85K)	Urine (urinary tract	Amp, ams, cfz, sxt,	dfrA1, dfrA14,	Class 1 and 2
	infection)	tet	tet(A)	integron, $qac\Delta E$ ,
				sull
E. cloacae (91b)	Catheter-tip	Amp, ams, cfz, sxt,	dfrA1, dfrA14,	Class 1 integron,
		tet	<i>tet</i> (E)	$qac\Delta E$ , sull
E. cloacae (97K)	Urethral discharge	Amp, ams, cfz, sxt,	dfrA1, dfrA14,	Class 1 and 2
		tet	<i>tet</i> (E)	integron, $qac\Delta E$ ,
				sul1
E. cloacae (60K)	Semen	Amp, ams, cfz, sxt,	dfrA1, dfrA14,	Class 1 integron,
		tet	<i>tet</i> (E)	$qac\Delta E$ , sull
E. cloacae (54K)	Blood (sepsis)	Amp, ams, cfz, sxt,	dfrA1, <i>tet</i> (E)	Class 1 integron,
		tet		sull
E. cloacae (56K)	Blood (Neonatal	Amp, ams, cfz, sxt,	dfrA1, <i>tet</i> (E)	Class 1 integron,
	sepsis)	tet		$qac\Delta E$ , sull
E. cloacae (59K)	Catheter-tip	Amp, ams, cfz, sxt,	dfrA1, <i>tet</i> (E)	Class 1 integron,
		tet		sull
E. cloacae (64K)	Blood (Neonatal	Amp, ams, cfz, sxt,	dfrA1, tet(A)	Class 1 and 2

	sepsis)	tet		integron, $qac\Delta E$ ,
				sull
E. cloacae (213K)	Urine (urinary tract	amp, ams, azt, cfz,	dfrA14, <i>tet</i> (A),	CTX-M-15, <i>qnrB1</i> ,
	infection)	fep, cet, caz, cip,	<i>tet</i> (E)	aac-(6')-lb-cr, Class
		gen, lev, tob, sxt,		1 and 2 integron,
		tet, ctx, fox		$aph, aadA1, qac\Delta E,$
				sull
Pantoea	Blood (sepsis)	amp, ams, azt, cfz,	dfrA14, <i>tet</i> (K)	СТХ-М-15, ТЕМ-1,
agglomerans (69K)		fep, caz, cip, gen,		qnrB1, aac-(6')-lb-
		pt, tob, sxt, tet, ctx,		cr, Class 1 and 2
		fox		integron, aph,
				aadA1, cat1,
				$qac\Delta E$ , sull
		1		

188 Key: amp=ampicillin, ams=ampicillin/sulbactam, azt=aztreonam, cet=cephalothin, cfz=cefazolin, fep=cefepime,

189 caz=ceftazidime, cip=ciprofloxacin, gen=gentamicin, fox=cefoxitin, pt=piperacillin/tazobactam, tobramycin,

190 sxt=trimethoprim/sulfamethoxazole, lev=levofloxacin, tet=tetracycline, ctx=cefotaxime