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1 **Chronic Wasting Disease: Fingerprinting the Culprit in Risk Assessments**

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10

11 Running title: Fingerprinting of CWD prions

12

13 Keywords:

14 Transmissible spongiform encephalopathies (TSE), chronic wasting disease (CWD), prion,
15 prion protein (PrP), prion typing, strains, risk assessment, protein misfolding cyclic
16 amplification (PMCA), seeding activity, Fourier transform-infrared (FT-IR) spectroscopy

17

18 Abbreviations and acronyms:

19 BSE, bovine spongiform encephalopathy; BSE-H, hamster-adapted BSE agent; CDI,
20 conformation-dependent immunoassay; CJD, Creutzfeldt-Jakob disease; CWD, chronic
21 wasting disease; FT-IR spectroscopy, Fourier transform-infrared spectroscopy; ME7-H,
22 hamster-adapted scrapie agent; PET, paraffin-embedded tissue; PMCA, protein misfolding
23 cyclic amplification; PrP, prion protein; PrP^C, cellular isoform of the prion protein; PrPres,
24 protease-resistant core of misfolded prion protein; PrP^{TSE}, pathological isoform of the prion
25 protein; TSE, transmissible spongiform encephalopathy; vCJD, variant Creutzfeldt-Jakob
26 disease; WTD, white-tailed deer; 22A-H, hamster-adapted scrapie agent; 263K, hamster-
27 adapted scrapie agent

28 **Footnote page**

29

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47 **Abstract**

48 Transmissible spongiform encephalopathies (prion diseases) in animals may be associated
49 with a zoonotic risk potential for humans as shown by the occurrence of variant Creutzfeldt-
50 Jakob disease in the wake of the bovine spongiform encephalopathy epidemic. Thus, the
51 increasing exposure of humans in North America to cervid prions of chronic wasting disease
52 (CWD) in elk and deer has prompted comprehensive risk assessments. The susceptibility of
53 humans to CWD infections is currently under investigation in different studies using
54 macaques as primate models. The necessity for such studies was recently reinforced when
55 disease-associated prion protein and its seeding activity were detected in muscles of
56 clinically inconspicuous CWD-infected white-tailed deer. Increasing evidence points to the
57 existence of different CWD strains, and CWD prions may also change or newly emerge over
58 time. Therefore, CWD isolates examined in macaques should be characterized as precisely
59 as possible for their molecular identity. On this basis other CWD field samples collected in
60 the past, present or future could be systematically compared to macaque-tested inocula in
61 order to assess whether they are covered by the ongoing risk assessments in primates.
62 CWD typing by Fourier transform-infrared spectroscopy of pathological prion protein may
63 provide a method of choice for this purpose.

64 ***Transmissible spongiform encephalopathies: Prion diseases with a zoonotic risk***
65 ***potential***

66 Transmissible spongiform encephalopathies (TSEs) are fatal neurodegenerative diseases
67 caused by prions (proteinaceous infectious particles) such as chronic wasting disease
68 (CWD) in cervids, scrapie in sheep, bovine spongiform encephalopathy (BSE), or human
69 Creutzfeldt-Jakob disease (CJD).¹ While some TSEs have been known since long (e. g.
70 scrapie or CJD) others emerged only in recent years. Of the latter, particularly BSE and
71 variant Creutzfeldt-Jakob disease (vCJD) attracted great attention in science, politics and the
72 media. The occurrence of vCJD in the wake of the BSE epidemic showed that animal prions
73 may potentially breach the species barrier to humans via the alimentary route.² This hazard
74 has called for a stringent surveillance and control of prion reservoirs in farmed livestock and
75 hunted game.

76 CWD and other prions are thought to consist essentially of host-encoded prion protein (PrP)
77 with a pathological, β -sheet rich folding- and aggregation structure, referred to as PrP^{Sc} or
78 PrP^{TSE}.^{1,3,4} Their replication is putatively mediated by a process of seeded polymerization in
79 which seeding-active nuclei of PrP^{TSE} recruit cellular prion protein (PrP^C) and incorporate it, in
80 a misfolded form, into growing amyloid-like PrP aggregates. When such polymers break up
81 into smaller units this leads to a multiplication of PrP particles with proteinaceous seeding
82 activity further mediating the autocatalytic replication of the pathological protein state. Prion-
83 associated seeding activity converting normal protease-sensitive PrP^C into Proteinase K-
84 resistant prion protein (PrPres) can be monitored *in vitro* by protein misfolding cyclic
85 amplification (PMCA).⁵

86

87 ***Exposure of humans to CWD prions***

88 Chronic wasting disease is a TSE in white-tailed deer, mule deer, Rocky Mountain elk and
89 moose. Over the past years this disease has shown a sustained spread in captive as well as
90 free-ranging cervids in North America.^{6,7} The increasingly frequent and widespread

91 occurrence of affected animals is likely to augment the exposure of humans to the CWD
92 agent. Prion infectivity or TSE-associated prion protein have been detected in the central and
93 peripheral nervous system, in a variety of lymphoid tissues as well as in heart muscle, blood,
94 saliva, feces and urine of CWD-infected cervids⁷. Also, infectious CWD agent was found in
95 antler velvet of elk and in skeletal muscles of mule deer with chronic wasting disease.^{8,9}
96 Thus, particularly persons processing cervid carcasses, users of medicinal products made
97 from antler velvet and consumers of venison may be exposed to an elevated risk for
98 contamination with CWD prions.

99 Recently, PrP^{TSE} and its proteinaceous seeding activity could be directly demonstrated, for
100 the first time, in skeletal muscles of CWD-infected cervids.¹⁰ The animals examined in this
101 study were farmed and free-ranging WTD for which no clinical signs of CWD had been
102 recognized. However, they had been officially confirmed positive for CWD based on the
103 detection of PrP^{TSE} in brain tissue or lymph nodes and were thus apparently in a state of pre-
104 or subclinical infection. Muscles from such clinically inconspicuous carrier animals appear
105 more likely to enter the human food chain than meat from cervids that show symptoms of
106 CWD. Whether this may provide a relevant mode for the inadvertent foodborne transmission
107 of CWD prions is still unclear. Yet, the presence and seeding activity of PrP^{TSE} in skeletal
108 muscles of pre- or subclinically infected WTD reinforced the need to comprehensively assess
109 whether humans are susceptible to zoonotic CWD infections.

110

111 ***CWD risk assessments***

112 *Transmissibility to other animal species*

113 CWD has been observed under natural conditions so far only in cervids. However, the
114 disease could be transmitted experimentally to recipients such as vole species, golden
115 hamsters, minks, ferrets, goats and cattle.⁷ Thus, in artificial setups CWD prions were able to
116 breach the species barrier to different kinds of wild and domestic animals. It remains
117 unknown, though, whether inter-species transmission of CWD would be also possible via

118 natural routes of exposure such as contact to infected cervids or environments contaminated
119 with CWD.

120

121 *Transmissibility to humans*

122 The current state of epidemiological research suggests a rather robust barrier for the
123 transmission of CWD to humans. Particularly, the surveillance of human prion diseases in
124 areas with a long history of endemic CWD such as Colorado and Wyoming did not reveal
125 evidence for zoonotic transmissions of the disease to cervid hunters or consumers of meat
126 from elk and deer.^{6,11} However, as discussed by Belay et al.,⁶ the intensity of human
127 exposure to CWD prions may increase due to a further spread and rising prevalence of the
128 disease in cervids. Therefore, and with the generally long latency periods of human prion
129 diseases in mind, previous epidemiological findings cannot be readily extrapolated.

130 Until recently, experimental studies that pursued biochemical approaches or used transgenic
131 mice to ascertain the susceptibility of humans to CWD infections consistently seemed to
132 corroborate current epidemiological findings: CWD-infected cervid brain tissue did not seed
133 the conversion of PrP^C into PrP^{Res} in PMCA assays using brain homogenate from macaques
134 or transgenic mice expressing human PrP^C as test substrate¹², and transgenic mice
135 overexpressing human PrP^C were resistant to infection after intracerebral challenge with
136 CWD prions from mule deer.¹³ However, a study published by Barria et al.¹⁴ in March 2011
137 found that cervid PrP^{TSE} can seed the conversion of human PrP^C into PrP^{Res} by PMCA when
138 the CWD agent has been previously passaged *in vitro* or *in vivo*. Specifically, this was
139 demonstrated for CWD prions from naturally affected mule deer either passaged by serial
140 PMCA using deer PrP^C as conversion substrate or in transgenic mice expressing cervid
141 PrP^C. The authors of this study pointed out that CWD prions may undergo a gradual process
142 of change and adaptation via successive passages in the cervid population. They concluded
143 that the reported findings, if corroborated by infectivity assays, may imply “that CWD prions
144 have the potential to infect humans and that this ability progressively increases with CWD
145 spreading”.

146 Cynomolgus macaques used as a primate model for testing the susceptibility of humans to
147 CWD as close to reality as possible have not shown clinical signs of a prion disease at nearly
148 6 years after intracerebral or peroral inoculation of CWD agents from white-tailed deer,
149 Rocky Mountain elk or mule deer.¹⁵ In contrast to macaques squirrel monkeys were
150 susceptible to CWD infection by the intracerebral route and showed even a low rate of
151 disease transmission after oral challenge.^{15,16} Since humans are phylogenetically closer
152 related to macaques than to squirrel monkeys, macaques are regarded as the more relevant
153 primate model for assessing the zoonotic transmissibility of CWD.¹⁵

154

155 *Ongoing transmission studies in macaques*

156 Additionally to the primate study by Race et al.¹⁵ two further studies in which macaques were
157 challenged with tissue homogenates from CWD-affected cervids by intracerebral inoculation
158 or via the oral route have been reported to be in progress.^{17,18} The purpose, research effort,
159 financial investment and ethical aspects of these studies demand an utmost experimental
160 scrutiny, careful data analysis and thorough exploitation of results. This has two immediate
161 implications:

162 i) Since the incubation period of CWD may be very long in case of primary
163 (i. e. inter-species) transmission to macaques a sustained monitoring of the
164 animals appears mandatory for many years despite negative interim
165 findings.

166 ii) Increasing evidence suggests the existence of different CWD agents (see
167 below), and theoretically CWD prions may also change over time thereby
168 possibly altering their potential host range. Thus, CWD isolates used in
169 individual or pooled inocula for the challenge of macaques should be typed
170 as precisely as possible in terms of their strain characteristics and molecular
171 identity. Other field isolates could then be checked for their similarity or

172 dissimilarity to the macaque-tested CWD agents in order to ascertain
173 whether or not they are covered by the ongoing primate risk assessments.

174

175 ***Evidence for distinct CWD strains***

176 *Biochemical indications for isolate-dependent structural differences of PrP^{TSE}*

177 In 2002 it was reported that glycoform patterns of PrP^{TSE} showed differences among
178 individual CWD-affected cervids.¹⁹ In a variety of studies the glycosylation of PrP^{TSE} had
179 been previously established as a biochemical feature that may differ between distinct TSE
180 agents.^{20,21} Accordingly, the finding by Race et al. possibly indicated CWD infections with
181 different or multiple strains of agent, although alternatively it could be explained also by
182 random selection from a heterogeneous population of CWD-affected ruminants.¹⁹

183 Using a conformation-dependent immunoassay (CDI) Safar et al. found evidence for different
184 conformations of PrP^{TSE} in elk CWD as compared to white-tailed and mule deer CWD.²²
185 However, the amino acid sequences of elk and deer PrP^C differ at residues 226 (glutamic
186 acid in elk and glutamine in deer), and it remained to be established whether the structural
187 differences detected by CDI were related to biologically distinct CWD strains.

188

189 *Isolation of CWD-associated agents causing distinct phenotypes in laboratory rodents*

190 Classically, prion strains are differentiated based on their incubation periods in inbred mice
191 with distinct PrP genotypes and by lesion profiles of the vacuolation in selected brain areas
192 of reporter animals.²³ When Raymond et al. serially passaged a CWD inoculum from mule
193 deer either in Syrian hamsters, or first into transgenic mice expressing hamster PrP^C, and
194 then further on in hamsters, they obtained two distinct isolates termed SghaCWD^{md-f} and
195 SghaCWD^{md-s}, respectively.²⁴ The first isolate showed an about fivefold shorter incubation
196 period in Syrian hamsters than the latter, and the cerebral patterns of PrP^{TSE} deposition and
197 gliosis in clinically affected hamsters were also different. Based on their findings the authors

198 concluded that the “cervid-derived inocula may have contained or diverged into at least two
199 distinct transmissible spongiform encephalopathy strains”.

200 Angers et al. transmitted CWD inocula from elk and deer to transgenic mice expressing
201 cervid PrP and found that these mice were affected by one of two strains, referred to as
202 CWD1 and CWD2, that caused different incubation times and lesion profiles.²⁵ The results of
203 this study “appear to reflect strain constitutions in the natural host, rather than adaptation and
204 divergence of progenitor strains in recipient mice...” according to the authors. Interestingly,
205 CWD1 and CWD2 did not show recognizably different biochemical properties of their PrP^{TSE}.
206 The electrophoretic migration and glycosylation patterns as well as the stability
207 characteristics after treatment with guanidine hydrochloride were indistinguishable for
208 CWD1- and CWD2-associated PrP^{TSE}. Consistent with these findings it has been previously
209 reported that biologically distinct prion strains cannot always be differentiated by biochemical
210 PrP^{TSE}-typing or characterization of the conformational stability of PrP^{TSE}.^{26,27}

211

212 ***Rationale for the typing of CWD agents by Fourier transform-infrared (FT-IR)***
213 ***spectroscopy***

214 The precise identification of present and newly emerging CWD strains in the field is of great
215 importance for a reliable risk assessment. When it comes to the typing of CWD agents that
216 have been used to challenge macaques and of other CWD field isolates for comparative
217 purposes, a comprehensive biological characterization in rodent models would be laborious,
218 time-consuming and in practical respect possibly even unfeasible. On the other hand,
219 biochemical surrogate methods for prion typing may fail to sufficiently discriminate between
220 different PrP^{TSE} species associated with distinct CWD agents. The biophysical discrimination
221 of CWD isolates by infrared spectroscopy of pathological prion protein may provide a resort
222 from this quandary.

223

224

225

226 *Infrared spectroscopy delivers information about structural characteristics of proteins*

227 The glycosylation and/or the folding and aggregation structure of PrP^{TSE} mirror phenotypic
228 characteristics of prion strains and have been also suggested as molecular codes that
229 encipher strain-specific information of TSE agents.^{20,21,26,28-30} Infrared spectroscopy has been
230 established as a powerful tool for studying structural properties of proteins. Secondary
231 structure motifs of proteins are reflected in IR spectral absorption bands, and the frequency
232 positions of structure-sensitive amide band components can be assigned to specific types of
233 secondary structure such as α -helix, β -sheet, or turn.³¹ The amide I band of IR spectra
234 extends from about 1600 to 1700 wavenumbers per cm and primarily represents the
235 carbonyl stretching vibration of the peptide backbone. This band is influenced by a variety of
236 factors such as the strength of hydrogen bonds and the packing of β -strands.^{32,33}

237

238 *Discrimination of prion strains by infrared spectroscopy of PrP^{TSE}*

239 The amide I band of IR spectra was shown in previous studies to mirror structural differences
240 in intra- und intermolecular β -sheets, α -helices and turns of PrP^{TSE} from different prions
241 strains. Using Fourier transform-infrared (FT-IR) spectroscopy Caughey et al. had been able
242 to demonstrate that PrP^{TSE} extracts from the brains of hamsters infected with hyper- and
243 drowsy strains of transmissible mink encephalopathy showed different β -sheet structures.³⁰

244 In a later study, Thomzig et al. examined PrP^{TSE} from three different hamster-adapted
245 scrapie strains (263K, ME7-H, 22A-H) and a hamster-adapted BSE isolate (BSE-H) by FT-IR
246 spectroscopy.²⁶ Two of these agents, ME7-H and 22A-H, differed only with respect to their
247 incubation times in hamsters. Apart from that they caused indistinguishable clinical
248 symptoms and neuropathological lesion profiles. Differences between the electrophoretic
249 mobilities or glycosylation patterns of their PrP^{TSE} could not be detected. Paraffin-embedded
250 tissue (PET) blotting of the cerebral PrP^{TSE} distribution and Western blotting for testing the
251 conformational stability of PrP^{TSE} after exposure to Proteinase K at different pH values also
252 failed to display discrepancies between the ME7-H and 22A-H scrapie strains. However, all

253 four TSE agents examined in the study by Thomzig et al., including ME7-H and 22A-H, could
254 be clearly distinguished from each other by distinct structural features of their particular
255 PrP^{TSE} represented in the amide I absorption patterns of FT-IR spectra.

256 The consistency and discriminatory significance of the spectral profiles reported by Thomzig
257 et al. could be objectively confirmed by multivariate statistics. In a hierarchical cluster
258 analysis the distinct amide I absorption features reliably produced four clusters of spectra,
259 each correctly including the spectra from PrP^{TSE} associated with one of the four different
260 isolates.³⁴ Thus, FT-IR spectroscopy was shown to provide a “fingerprint” of β -sheet- and
261 other secondary structure components in PrP^{TSE} that may be used for the swift typing of prion
262 strains without restrictions to specific TSEs or host species.

263

264 **Outlook**

265 Figure 1 provides an outline of how CWD agents could be perspectively typed by FT-IR
266 spectroscopy. If CWD isolates contained only single prion strains their classification would
267 appear theoretically feasible simply by straightforward FT-IR spectroscopical characterization
268 of PrP^{TSE} purified from affected cervids. However, if mixtures of different strains were present
269 in test samples such direct FT-IR spectroscopy of PrP^{TSE} from affected cervids may not allow
270 a proper typing. In principle, this potential drawback could be dispelled by the separation and
271 cloning of agents prior to further analyses. Usually, this would have required comprehensive
272 passages of isolates in animals.²³ However, due to substantial technical advancements the
273 PMCA technology now provides an *in vitro* option for the selective amplification of PrPres
274 from distinct CWD strains.^{5,35,36} For this purpose PMCA would need to be performed
275 repeatedly with limiting dilutions of CWD seeding activity. Amplificates of PrPres derived from
276 CWD agents by this approach could be subjected additionally or alternatively to PrP^{TSE} from
277 cervids to subsequent FT-IR analyses for a tentative structure-based classification of CWD
278 agents.

279 We have recently found that highly-resolved FT-IR spectra for strain differentiation can be
280 conveniently obtained from purified preparations of PMCA-derived scrapie-associated PrPres
281 (manuscript in preparation). However, whether PrP^{TSE} isolated from CWD-affected cervids or
282 PrPres amplified by PMCA will eventually provide practical analytes for the typing of CWD
283 prions by FT-IR spectroscopy requires further research. More clarity about the similarities or
284 dissimilarities between the CWD inocula that have been administered to macaques and the
285 variety of field isolates which cannot be tested in this way would be worth the effort.

286

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297

298

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401 **Figure legends**

402 **Figure 1**

403 **Typing of CWD agents by FT-IR spectroscopy of strain-related misfolded prion protein**

404 Unknown CWD field isolates may contain single or multiple strains of agent. For the typing of
405 CWD agents by FT-IR spectroscopy purified PrP^{TSE} from cervids affected with a single strain
406 only could be directly examined. However, mixtures of distinct CWD agents would need to be
407 separated into single strains prior to FT-IR spectroscopic analysis. Perspectively, this may be
408 achieved by PMCA repeatedly performed with limiting dilutions of CWD seeding activity
409 (limiting dilutions would be identified as the highest dilutions of CWD test samples that still
410 show a detectable seeding activity, i. e. formation of PrPres). If a test sample contained two
411 CWD strains, A and B, and the seeding activity of strain A exceeded that of strain B under
412 specific PMCA conditions, PMCA performed with limiting dilutions should selectively amplify
413 PrPres derived from strain A. Vice versa, PrPres derived from strain B should be selectively
414 amplified if the seeding activity of this strain exceeded that of strain A. Thus, amplification of
415 PrPres from the CWD strain with the relatively highest seeding activity should be achieved by
416 the depicted analytical approach. It has to be noted that the relative seeding activities of
417 different strains in a given CWD isolate i) do not need to quantitatively correlate with the
418 relative amounts of their respective PrP^{TSE} and ii) may depend on the specific PMCA
419 conditions used for PrPres amplification. Therefore, if aliquots of a CWD isolate were
420 processed under differing PMCA conditions favouring the amplification of PrPres from
421 different strains, this could potentially allow the isolation of PrPres amplificates from two or
422 more CWD strains in the sample.

423 Once highly purified PrP^{TSE} extracts or PrPres amplificates have been prepared, these can
424 be subjected to FT-IR spectroscopy. The obtained spectral information comprised in the
425 amide I absorption band between about 1600 to 1700 wavenumbers/cm should provide a
426 structural fingerprint of β -sheets (low frequency, a), unassigned structure (b), α -helices (c),
427 turns (d) and turns/ β -sheets (high frequency, e) of the examined PrP^{TSE}/PrPres as shown in

428 the FT-IR second derivative spectrum of the figure. This spectral information can be used as
429 input data for a hierarchical cluster analysis in order to obtain an objective sample
430 classification in terms of tentative CWD strain assignments.^{26,34} Abbreviations: A, CWD strain
431 A; B, CWD strain B. Symbols: Circles, misfolded prion protein associated with or PMCA-
432 derived from CWD strain A; Triangles, misfolded prion protein associated with or PMCA-
433 derived from CWD strain B.

