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1 **Harnessing Prions as Test Agents for the Development of Broad-Range Disinfectants**

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12

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14 Cell assay, disinfection, prion, prion protein (PrP), protein misfolding cyclic amplification  
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16 encephalopathies (TSE)

17

18 Abbreviations and acronyms:

19 A $\beta$ , amyloid- $\beta$ ; AD, Alzheimer's disease; APP, amyloid precursor protein; CJD, Creutzfeldt-  
20 Jakob disease; PMCA, protein misfolding cyclic amplification; PrP, prion protein; PrP<sup>C</sup>,  
21 cellular isoform of the prion protein; PrPres, protease-resistant form of misfolded prion  
22 protein; PrP<sup>TSE</sup>, pathological isoform of the prion protein; qPMCA, quantitative protein  
23 misfolding cyclic amplification; sCJD, sporadic Creutzfeldt-Jakob disease; TSE, transmissible  
24 spongiform encephalopathy; vCJD, variant Creutzfeldt-Jakob disease; 263K, hamster-  
25 adapted scrapie agent

26 **Footnote page**

27

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56 **Abstract**

57 The development of disinfectants with broad-range efficacy against bacteria, viruses, fungi,  
58 protozoa and prions constitutes an ongoing challenge. Prions, the causative agents of  
59 transmissible spongiform encephalopathies (TSEs) such as Creutzfeldt-Jakob disease (CJD)  
60 or its variant (vCJD) rank among the pathogens with the highest resistance to disinfection.  
61 Pilot studies have shown that procedures devised for prion disinfection were also highly  
62 effective against microbial pathogens. This fueled the idea to systematically exploit prions as  
63 test pathogens for the identification of new potential broad-range disinfectants. Prions  
64 essentially consist of misfolded, aggregated prion protein (PrP) and putatively replicate by  
65 nucleation-dependent, or seeded PrP polymerization. Recently, we have been able to  
66 establish PrP seeding activity as a quantitative *in vitro* indicator for the disinfection of 263K  
67 scrapie prions on steel wires used as surrogates for medical instruments. The seeding  
68 activity on wires re-processed in different disinfectants could be i) biochemically determined  
69 by quantitative protein misfolding cyclic amplification (qPMCA), ii) biologically detected after  
70 qPMCA in a cell assay, and iii) correctly translated into residual titres of scrapie infectivity.  
71 Our approach will substantially facilitate the identification of disinfectants with efficacy against  
72 prions as promising candidates for a further microbiological validation of broad-range activity.

## 73 **Introduction**

### 74 ***The need for pathogen-free surgical instruments and medical devices***

75 Modern medicine depends on clean, pathogen-free materials and instruments. Therefore,  
76 safe disinfection is of utmost importance in the maintenance of re-usable surgical  
77 instruments and requires ongoing improvement in order to keep up with the challenge of  
78 newly emerging pathogens and increasingly complex medical devices. An ideal disinfectant  
79 would be effective against all classes of pathogens, suited for routine application, material-  
80 friendly, compatible with heat-sensitive devices, and free of effects that fix proteins or other  
81 organic material. However, some microbial pathogens (e. g. bacterial spores, protozoal  
82 oocysts, mycobacteria, non-enveloped viruses or fungal spores) can be highly tolerant to  
83 disinfection.<sup>1</sup> The same holds true for prions, the causative agents of transmissible  
84 spongiform encephalopathies such as ovine scrapie, bovine spongiform encephalopathy  
85 (BSE), or Creutzfeldt-Jakob disease and its variant in humans.

86 Prions represent a biological principle of infection that substantially differs from that of  
87 bacteria, viruses, fungi or protozoa. Prions are essentially composed of a pathologically  
88 misfolded and aggregated isoform of the host-encoded prion protein referred to as PrP<sup>Sc</sup><sup>2,3</sup> or  
89 PrP<sup>TSE</sup>.<sup>4</sup> The replication of prions is thought to occur via a mechanism of nucleation-  
90 dependant, or seeded PrP polymerization.<sup>5,6</sup> In this process PrP<sup>TSE</sup> oligomers or -polymers  
91 act as templates that recruit cellular prion protein (PrP<sup>C</sup>) and integrate it into their aggregate  
92 structure. When PrP<sup>TSE</sup> particles break up into smaller units PrP nuclei with proteinaceous  
93 seeding activity are multiplied which causes further autocatalytic replication of the  
94 pathological protein state. Being devoid of coding nucleic acids and characterized by an  
95 amyloid-like molecular structure prions rank amongst the most resistant pathogens in  
96 hierarchical scales of resistance to disinfection.<sup>1</sup>

97 Epidemiological evidence suggests that transmission of human TSEs through contaminated  
98 medical instruments or devices can occur in invasive diagnostic or surgical procedures, and  
99 that such risk, while so far being only “hypothetical” for vCJD, is “real”

100 (i. e. effectively relevant) with respect to sporadic CJD (sCJD).<sup>7-14</sup> Hence, safe re-processing  
101 of medical instruments and devices is mandatory not only for the prevention of nosocomial  
102 infections caused by microbial pathogens but also for the prevention of secondary  
103 transmissions of human prion diseases in hospitals and other medical settings.

104

#### 105 ***Towards integrated research on prion and microbial disinfection***

106 The development of disinfectants with simultaneous efficacy against bacteria and fungi  
107 (including spores), viruses, protozoa and prions constitutes a challenging task. This task has  
108 been additionally complicated by the fact that experimental research on classical microbial  
109 and prion disinfection was not tightly integrated for many years. So far, there is only a  
110 relatively small number of studies that have attempted to bridge this gap. In two reports,  
111 published in 2009<sup>15</sup> and 2010,<sup>16</sup> different formulations that had been originally established for  
112 prion disinfection were shown, partly after targeted optimization, to be highly effective against  
113 bacteria, viruses and fungi as well. These findings demonstrated that in principle the  
114 disinfection of microbial pathogens and prions can be smoothly combined. They also  
115 provided a proof-of-concept that prions are not only a challenge but potentially also an  
116 informative paradigm for the broad-range disinfection of surgical instruments and medical  
117 devices. This fueled the idea to systematically exploit prions in future studies as model  
118 pathogens for the development of novel broad-range disinfectants. It has to be noted,  
119 however, that efficacy against prions does not necessarily imply that a disinfectant is also  
120 effective against other pathogens.<sup>1</sup> Therefore, any claim for broad-range efficacy of  
121 formulations or processes with prioncidal activity needs to be carefully validated in  
122 appropriate microbiological test systems.

123 Bioassays in animals provide the gold standard for the detection of prions and their  
124 inactivation.<sup>17,18</sup> However, since they are time consuming, expensive, tightly restricted in  
125 throughput and potentially critical in both ethical and regulatory respect they do not provide a  
126 real option for the extensive screening of large numbers of disinfection samples. Biochemical  
127 testing for the removal, destabilization or degradation of pathological prion protein PrP<sup>TSE</sup>, the

128 molecular surrogate marker for prion infectivity,<sup>19</sup> has been performed as an alternative in  
129 disinfection studies using steel wires as surrogates for medical instruments and devices,<sup>15,20-</sup>  
130 <sup>24</sup> but this detection method is less reliable and sensitive than animal bioassays. Only during  
131 the past few years the situation has profoundly changed. The infectivity of certain prion  
132 strains can now be titrated by quantitative cell-based assays in solution or on solid test  
133 surfaces,<sup>25-27</sup> and PrP<sup>TSE</sup>-associated seeding activity converting normal protease-sensitive  
134 PrP<sup>C</sup> into pathological and usually Proteinase K-resistant prion protein (PrPres) has become  
135 amenable to *in vitro* monitoring by protein misfolding cyclic amplification (PMCA).<sup>28,29</sup> Recent  
136 technical advancements of the PMCA technology, called quantitative PMCA (qPMCA)<sup>30</sup> and  
137 real-time quaking induced conversion assay (RT-QuIC),<sup>31</sup> showed that the measurement of  
138 prion titres and PrP<sup>TSE</sup> seeding activity, respectively, are biochemically feasible *in vitro* with  
139 high sensitivity and accuracy.

140 Since 2006 PMCA has been increasingly used for probing prion disinfection *in vitro*, initially  
141 in a non-quantitative manner<sup>32</sup> and by now also in quantitative approaches.<sup>33,34</sup> Prion  
142 replication by nucleation-dependent PrP polymerization implicates the seeding activity of  
143 PrP<sup>TSE</sup> as a key biochemical counterpart of biological prion infectivity. Based on this concept  
144 we examined in the recent study by Pritzkow et al.<sup>33</sup> whether prion disinfection could be  
145 assessed without animal bioassays by biochemical and biological *in vitro* monitoring of PrP  
146 seeding activity. For this purpose we pursued a three-stage approach: The residual seeding  
147 activities on prion-contaminated steel wires that had been exposed to different disinfectants  
148 were at first biochemically determined by quantitative PMCA and translated into estimates of  
149 scrapie infectivity, and afterwards biologically detected in a non-quantitative cell assay by  
150 inoculation of glial cultures with qPMCA products derived from the test wires. Finally, the  
151 scrapie titre estimates from the qPMCA assay and the findings from the cell assay were  
152 compared to and validated by actual infectivity data from animal bioassays. The design,  
153 results and implications of this study<sup>33</sup> which aimed at establishing a sensitive and practical  
154 screening test for prion disinfection are reviewed in the following sections of this article.

155



156 **Probing prion disinfection *in vitro***

157 ***PMCA-based seeding activity assay***

158 As a prerequisite for our study we first established a protocol for serial PMCA<sup>29,35,36</sup> that  
159 allowed the quantitative detection of the seeding activity of 263K scrapie-associated PrP<sup>TSE</sup>  
160 in solution and on steel wires. In accordance with findings independently reported by others<sup>37</sup>  
161 we observed that the presence of glass beads in reaction batches substantially increased the  
162 sensitivity and robustness of PMCA. We also noticed that comprehensive precautionary  
163 measures aiming at the prevention of cross-contamination were required to warrant PMCA  
164 specificity in our hands. These safeguards included sealing and stringent decontamination of  
165 reaction tubes, collection of PMCA samples by vial puncture, and addition of the chaotropic  
166 prion-inactivating reagent guanidine thiocyanate to the water bath above the ultrasonic  
167 transducer.

168 Next, we applied our protocol for quantitative PMCA to steel wires in a carrier assay for prion  
169 disinfection. Residual seeding activities on test steel wires that had been contaminated with  
170 10<sup>-1</sup>-diluted 263K scrapie hamster brain homogenate (SBH) and subsequently exposed to  
171 different disinfectants were quantitatively determined by comparing the PrPres amplification  
172 seeded by test steel wires to the PrPres amplification seeded by reference steel wires after  
173 1, 2, 3 and 4 rounds of qPMCA. Thus, our seeding activity assay was based on an internal  
174 calibration. The reference wires were contaminated with 10<sup>-1</sup>-, 10<sup>-2</sup>-, 10<sup>-3</sup>-, 10<sup>-4</sup>-, 10<sup>-5</sup>-, 10<sup>-6</sup>-,  
175 10<sup>-7</sup>- or 10<sup>-8</sup>-diluted SBH and carried known amounts of scrapie infectivity which had been  
176 determined in a previous study by endpoint titration in hamsters.<sup>38</sup> We then used these  
177 infectivity titres of the reference steel wires as conversion factors in order to translate the  
178 seeding activities detected on test steel wires into estimates of 263K scrapie infectivity.

179

180 ***Cell-based seeding activity assay***

181 Prion bioassays in animals essentially rely on the transmission of a TSE infection that  
182 eventually becomes evident by the onset of TSE-typical symptoms, cerebral

183 neuropathological changes (e. g. vacuolation) and/or accumulation of misfolded prion protein  
184 in the central nervous system. Thus, as to the formation, deposition and accumulation of  
185 PrP<sup>TSE</sup>, animal bioassays for prions basically detect PrP seeding as a biologically  
186 transmissible principle. In our disinfection study biologically transmissible PrP seeding  
187 activity that remained present on test steel wires after exposure to different disinfectants was  
188 indirectly detected by a surrogate cell assay for 263K scrapie prions. We found that primary  
189 cultures of hamster glial cells displayed both an accumulation of PrPres and amplification of  
190 PrP seeding activity upon exposure to 263K scrapie brain tissue or to PMCA products that  
191 were derived from steel wires contaminated with this prion strain. On this basis we subjected  
192 re-processed test steel wires to qPMCA for the biochemical detection and quantification of  
193 their residual seeding activity, and subsequently inoculated glial cultures with aliquots from  
194 such qPMCA reactions. Our non-quantitative glial assay confirmed the biological  
195 transmissibility of all PrP seeding activities that had been amplified from incompletely  
196 decontaminated wires.

197

### 198 ***In vivo* validation of seeding activity testing for prion disinfection**

199 Our *in vitro* assessment of prion disinfection was verified by comparing the estimated  
200 infectivity levels on the steel wires and the findings from the cell assay to actual scrapie titres  
201 that had been determined previously<sup>16,38</sup> or in our present study in hamster bioassays for  
202 identical, similarly disinfected test carriers. We tested 15 different formulations or procedures  
203 for prion disinfection. While the test limit of hamster bioassays for the reduction of scrapie  
204 titres on test steel wires was found to be about 5.5 log<sub>10</sub> units (logs),<sup>38</sup> at least 7 logs of  
205 seeding activity reduction could be monitored by qPMCA testing of steel wires. We found that  
206 the residual levels and reduction factors of scrapie infectivity indicated by the detected  
207 seeding activities were always consistent with, and within the detection limit of titrations in  
208 animals virtually identical to the findings from hamster bioassays. Taken together, the  
209 seeding activity of PrP<sup>TSE</sup> on test steel wires for prion disinfection could be biochemically  
210 titrated by qPMCA, biologically demonstrated by inoculation of glial cultures with qPMCA

211 products, and correctly translated into titres of prion infectivity.

212

213

## 214 **Conclusion and outlook**

### 215 ***Using prions as test pathogens in search of novel broad-range disinfectants***

216 The validation by cell assay and titration of qPMCA-detected seeding activity were found to  
217 indirectly reproduce two key features of animal bioassays in our study, i.e. a qualitative  
218 biological detection and quantitative determination of scrapie agent. The findings provided a  
219 proof-of-principle that cell assay-coupled qPMCA can substantially reduce, or possibly even  
220 replace, animal bioassays in screening studies of prion disinfection. This opens an avenue  
221 for the more extensive use of prions as potentially highly informative test pathogens when  
222 searching for novel broad-range disinfectants suitable for the routine maintenance of surgical  
223 instruments and medical devices.

224 The decontamination of surgical instruments and medical devices usually comprises  
225 cleaning, disinfection and sterilisation. While cleaning refers to the reduction or removal of  
226 the mass of contaminating material from an instrument, disinfection and sterilisation reduce  
227 the biological infectivity of any pathogens remaining on an instrument after cleaning. We  
228 suggest a simple test schedule for the rapid *in vitro* screening of the efficacy of candidate  
229 formulations against prion contaminations on instrument surrogates: Firstly, in order to  
230 specify the cleaning efficacy with respect to the prion protein, the reduction of the total load  
231 of PrP (i.e PrP<sup>C</sup> and PrP<sup>TSE</sup>) on test wires will be tested by SDS-PAGE and Western blotting  
232 as described previously.<sup>20</sup> Then, cell assay-coupled qPMCA will be used for gauging  
233 disinfection by an indirect quantitative *in vitro* assessment and biological detection of the  
234 prion infectivity that remains present on test carriers after re-processing. When the  
235 cleaning/disinfection solutions in which test wires had been incubated for decontamination  
236 are included in these analyses this will further elucidate the relative contributions of cleaning  
237 and inactivation to the reduction of the load of prion contamination. Formulations found to be

238 sufficiently effective against prions in the screening procedures for cleaning and disinfection  
239 will be subsequently subjected to microbiological *in vitro* assays that test the efficacy against  
240 bacteria, viruses, fungi,<sup>15,16</sup> and ideally also against protozoa. It has to be pointed out that our  
241 *in vitro* method for the screening of prion disinfectants intrinsically cannot reproduce all  
242 features of prion bioassays in animals. Therefore, formulations which show efficacy against  
243 prions and microbial pathogens in the preceding *in vitro* tests need to be finally validated for  
244 the reduction of prion infectivity by bioassays in animals.

245 Several different studies have shown that the susceptibility to individual disinfection methods  
246 may vary between different prion strains.<sup>39-42</sup> In the light of these findings it has been  
247 recommended that any prion inactivation procedures should be validated by bioassay  
248 against the prion strain for which they are intended to be used.<sup>41</sup> This would require  
249 comprehensive experiments in animals, but there are no animal models commonly available  
250 that would allow the sensitive titration of the agents of vCJD and the different forms of sCJD  
251 over a broad range of infectivity. In order to further promote the development of novel highly-  
252 effective disinfectants it might therefore be helpful to adapt PMCA- and cell culture protocols  
253 to the propagation and detection of PrP seeding activities associated with sCJD or vCJD.  
254 Furthermore, a pragmatic approach may focus on the most relevant human TSEs such as  
255 sCJD/subtypes MM1 and VV2<sup>43</sup> or vCJD when validating candidate formulations for broad-  
256 range disinfection for their efficacy against human prions.

257

### 258 ***Transmissible protein misfolding in prion diseases and beyond***

259 The proteinaceous seeding activity of PrP<sup>TSE</sup> transmits protein misfolding to cellular PrP in a  
260 cell-free manner in PMCA. This seeding activity seems to be also the driving force underlying  
261 the transmission of PrP misfolding at different levels of biological host organisms,  
262 i. e. from molecule-to-molecule, between cells, and in tissues.<sup>44</sup> Because of their ability to  
263 transmit disease-causing PrP misfolding even between individuals, prions are genuinely  
264 infectious agents. According to a wealth of data nucleation-dependent, or seeded  
265 polymerization governs the misfolding and aggregation of proteins also in other amyloidoses

266 such as Alzheimer's Disease (AD), type 2 diabetes or amyloid A amyloidosis.<sup>44</sup> Yet, apart  
267 from TSEs, amyloid diseases are generally not considered as being infectious.

268 The infectiousness of a human disease can be experimentally assessed in animal species  
269 that are closely related to humans. When inocula from more than 100 cases of AD were  
270 tested at the National Institutes of Health (USA) in primates for their ability to transmit  
271 disease this produced negative results with animals surviving an average of about 9 years  
272 (range: 1-24 years) after inoculation.<sup>45</sup> However, other researchers claimed to have found  
273 evidence for an induction of amyloid- $\beta$ - (A $\beta$ -)amyloidosis in primates by intracerebral injection  
274 of AD brain homogenate<sup>46,47</sup> and concluded that "beta (A4)-amyloidosis is a transmissible  
275 process comparable to the transmissibility of spongiform encephalopathy".<sup>46</sup> In fact, inducible  
276 protein misfolding diseases such as amyloid A amyloidosis or apolipoprotein A II amyloidosis  
277 show remarkable similarities to transmissible prion diseases,<sup>48</sup> and an increasing number of  
278 publications recently reported the "molecular transmissibility"<sup>49</sup> or "inducibility" of amyloidosis  
279 by intracerebral inoculation of proteins such as A $\beta$ <sup>50</sup> into susceptible laboratory animals.  
280 Notably, also the induction of cerebral A $\beta$ -amyloidosis through A $\beta$ -contaminated steel wires  
281 or peripherally applied A $\beta$ -rich extracts could be demonstrated in transgenic mice that  
282 expressed a mutant form of the human amyloid precursor protein (APP).<sup>51,52</sup> These findings  
283 have been interpreted by some experts as indications for an infectious transmissibility of  
284 Alzheimer disease (AD), but they may rather reflect an acceleration of disease in genetically-  
285 altered predisposed hosts than a genuine infection.<sup>53</sup> The caveats to AD "transmission" were  
286 expressed also at various prion conferences, for example by TSE expert Paul W. Brown who  
287 has repeatedly pointed to the uniformly negative results for AD transmission in the  
288 comprehensive National Institutes of Health primate study.<sup>45</sup>

289 Most recently Morales et al.<sup>54</sup> described the induction by intracerebral injection of AD brain  
290 extracts of A $\beta$ -pathology in transgenic mice expressing human wild-type APP. Since such  
291 mice do not naturally develop amyloid deposits during their lifespan the reported findings of  
292 this study seem to suggest that A $\beta$ -deposition in the mouse brains had been caused by a

293 prion-like transmission and propagation of protein misfolding. At the end of their report the  
294 authors concluded: “It remains to be studied whether at least a proportion of AD cases could  
295 be initiated through a transmissible prion-like mechanism under natural conditions in  
296 humans”. Such possibility is still not proven. However, if proteinaceous seeding activity  
297 actually emerged as a naturally transmissible principle of non-PrP amyloids this would have  
298 far-reaching fundamental and practical implications<sup>55</sup> - not least in the field of disinfection.  
299 Again, much could possibly be learned from prions.

300

301

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