Predicting late-onset sepsis by routine neonatal screening for colonisation by gram-negative bacteria in neonates at intensive care units: a protocol for a systematic review

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ABSTRACT

Introduction: Hospitals conduct extensive screening procedures to assess colonisation of the body surface of neonates by gram-negative bacteria to avoid complications like late-onset sepsis. However, the benefits of these procedures are controversially discussed. Until now, no systematic review has investigated the value of routine screening for colonisation by gram-negative bacteria in neonates for late-onset sepsis prediction.

Methods and analysis: We will conduct a systematic review, considering studies of any design that include infants up to an age of 12 months. We will search MEDLINE and EMBASE (inception to 2016), reference lists and grey literature. Screening of titles, abstracts and full texts will be conducted by two independent reviewers. We will extract data on study characteristics and study results. Risk of bias will be assessed using Quality Assessment of Diagnostic Accuracy Studies (QUADAS-2) and Quality in Prognosis Studies (QUIPS) tools. Subgroup analyses are planned according to characteristics of studies, participants, index tests and outcome. For quantitative data synthesis on prognostic accuracy, sensitivity and specificity of screening to detect late-onset sepsis will be calculated. If sufficient data are available, we will calculate summary estimates using hierarchical summary receiver operating characteristics and bivariate models. Applying a risk factor approach, pooled summary estimates will be calculated as relative risk or OR, using fixed-effects and random-effects models. I-squared will be used to assess heterogeneity. All calculations will be performed in Stata V14.1 (College Station, Texas, USA). The results will be used to calculate positive and negative predictive value and number needed to be screened to prevent one case of sepsis. Grading of Recommendations Assessment, Development and Evaluation (GRADE) will be used to assess certainty in the evidence. The protocol follows the Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) guideline.

Ethics and dissemination: This study will not require ethical approval since it is not carried out in humans. The systematic review will be published in an open-access peer-reviewed journal.

Trial registration number: CRD42016036664.

INTRODUCTION

Epidemiological and clinical background

At neonatal intensive care units (NICUs), late-onset sepsis due to gram-negative pathogens is an important cause of neonatal morbidity and mortality. The majority of sepsis episodes (>80%) occurs in preterm neonates. Depending on individual factors, setting and species of bacteria, between 11% and 46% of very low birth weight infants (VLBW; <1500 g) are affected. Susceptibility to infection is strongly associated with low gestational age and low birth weight.

Already in the 1970s, data were published indicating that infants at NICUs colonised with gram-negative bacteria were at increased risk of developing infections subsequently. Consecutively, a number of studies investigated the value of routine surface cultures.
for the prediction of sepsis. Some hospitals conduct extensive and costly screening procedures to assess the colonisation of non-sterile locations of the body surface of neonates by gram-negative bacteria to avoid complications like sepsis. In Germany, routine screening for a selection of pathogens is recommended by the German Committee on Hospital Infections and Hygiene (KRINKO). However, the benefits of these screening procedures are controversially discussed. Moreover, since microbiological screening is introduced as part of a bundle of measures (eg, isolation, enhanced barrier nursing), it is often challenging to measure the particular effect of screening. Until now, no systematic review has been published that has investigated the prognostic value of routine screening for colonisation by gram-negative bacteria in this at-risk group for the prediction of late-onset sepsis. Here, we present and explain the protocol for a respective systematic review that will be conducted as part of the piloting phase of the Project on a Framework for Rating Evidence in Public Health (PRECEPT).

**Prognostic/diagnostic test accuracy and risk factors**

According to the Cochrane handbook for systematic reviews of diagnostic test accuracy studies, prognostic accuracy studies are using test information to identify patients who will develop an outcome later on (see http://methods.cochrane.org/sdt/handbook-dta-reviews). In this sense, studies that are using screening for gram-negative bacteria to predict sepsis are prognostic accuracy studies. In such studies, the result of a test is compared with the (clinical) outcome. This differs from the approach of diagnostic test accuracy studies where the test result is compared with the result of a reference or ‘gold standard’ test (figure 1). Therefore, prognostic accuracy is not a surrogate for patient-important outcomes, as in diagnostic test accuracy studies. This approach has consequences for the design of the studies to be considered. In contrast to diagnostic test accuracy studies where cross-sectional study designs are common practice, cohort studies (prospective or retrospective) are needed to obtain measures of prognostic accuracy.

A complementary approach to the analysis of the same data is to conceptualise a positive screening test as the presence of a risk (or prognostic) factor and to calculate the relative risk of developing the outcome. However, it is important to consider that the presence of a high risk ratio (or OR), which is often used to identify prognostic factors for a certain outcome, does not indicate that the respective risk factor performs well in predicting this outcome. Ware showed that a risk factor strongly associated with a hypothetical outcome (OR 3.58) might have a sensitivity as low as 13% for predicting this outcome. Using the same data, he demonstrated that an OR of 228 would be needed to reach a sensitivity of 80%. Therefore, it may not be concluded that a risk factor which is strongly associated with the outcome provides a basis for an effective preventive measure.

**Concepts for systematic reviews of prognostic studies**

Various approaches exist regarding the systematic assessment and data synthesis of prognostic studies. During recent years, it has become more and more accepted that systematic reviews in this field should focus on measures of association between the predictive/prognostic factor and the outcome, such as risk ratio, OR or HR, as well as comprise measures of prognostic accuracy like sensitivity and specificity (eg, see Liu et al proposed...)

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**Figure 1** Diagnostic versus prognostic test accuracy.
to distinguish between systematic reviews of screening tests and those of diagnostic and prognostic studies. For screening and diagnosis, they suggested assessing sensitivity and specificity, whereas for questions related to prognosis the use of HRs was proposed. The Agency for Healthcare Research and Quality (AHRQ) suggests using a particular framework for systematic reviews of a prognostic test.\textsuperscript{19} In that paper, Rector et al conclude that it may be informative to assess the accuracy of a prognostic test by calculating sensitivity, specificity, and predictive values. However, these authors emphasise that it is critical to consider the time interval between the test and the occurrence of the outcome.\textsuperscript{19} In our own systematic review, we will compute measures of prognostic accuracy and measures of relative risk and compare the results of these calculations to each other.

**Risk of bias**

Given the particularities of systematic reviews of prognostic accuracy studies, the question arises whether an established risk-of-bias tool exists that captures common sources of bias in this study design. A number of authors applied tools that were originally designed to address risk of bias in diagnostic test accuracy studies.\textsuperscript{17 20 21} Currently, the Quality Assessment of Diagnostic Accuracy Studies (QUADAS-2) tool is the most advanced and widely used tool for the assessment of risk of bias in diagnostic accuracy studies.\textsuperscript{22} QUADAS-2 comprises four domains: patient selection, index test, references standard and flow and timing. In each domain, questions related to risk of bias and concerns regarding applicability are included.

However, as explained above, there are apparent differences in study design between diagnostic and prognostic accuracy studies. At least two sources of bias can be identified which are important in prognostic accuracy studies but are not relevant in diagnostic accuracy studies:

- **Attrition bias:** Owing to the prospective character of the study design, loss to follow-up of study participants in the time interval between the conduct of the screening test and the detection of the outcome might create attrition bias. Depending on whether or not rates of loss to follow-up differ between participants with positive and negative screening test results (differential vs non-differential loss to follow-up), sensitivity and specificity will change in point estimate or CI.

- **Confounding:** Confounding will occur if interventions are delivered to study participants depending on the result of the screening test. This may influence the probability of developing the outcome. Again, estimates of sensitivity and specificity might be affected.

Theoretically, it is possible that domain four of the QUADAS-2 tool (‘flow and timing’) sufficiently captures attrition bias as well as confounding in the time interval between screening test and outcome assessment. If this appears not to be the case, we may test whether the additional application of a risk of bias tool for risk factor/prognostic studies such as the Quality in Prognosis Studies (QUIPS) tool\textsuperscript{23} is of additional value.

**General objective**

To assess the usefulness and value of routine screening for colonisation by gram-negative bacteria in neonates at intensive care units for the prediction of late-onset sepsis.

**Research question**

This systematic review will focus on the following primary research questions:

1. What is the prognostic value (in terms of sensitivity and specificity) of routine screening for colonisation by gram-negative bacteria in neonates at intensive care units for the prediction of late-onset sepsis?

2. Is colonisation by gram-negative bacteria in neonates at intensive care units a risk factor for later development of late-onset sepsis?

**METHODS**

This systematic review protocol follows the recommendations of the Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) guideline.\textsuperscript{24} A copy of the completed PRISMA-P checklist is attached to this protocol (see online supplementary appendix 1). This systematic review is registered in the Prospective Register of Systematic Reviews (Reg. No. CRD42016036664).

**Eligibility criteria**

**Study designs**

Studies of any design will be considered. No restrictions will be made regarding publication language or publication status.

**Participants**

Studies that include infants up to an age of 12 months who are still in a NICU will be considered, irrespective of the gestational age, birth weight and geographical region where the study has been conducted.

**Study setting**

Studies that were performed in NICUs will be considered.

**Search strategy**

**Database search**

We will search MEDLINE and EMBASE from inception to 2016, using the DIMDI (Deutsches Institut für Medizinische Dokumentation und Information) platform. The planned search strategy is shown in box 1.

**Reference lists**

These searches will be supplemented by ‘snowballing’, that is, searching for additional studies in the reference lists of identified original studies and reviews.
Box 1  Search strategy of the systematic review

#1 neonat**
#2 newborn**
#3 infant**
#4 colonisation
#5 ‘mucosal site’**
#6 ‘mucosal sample’**
#7 ‘mucosal culture’**
#8 ‘superficial culture’**
#9 ‘surveillance culture’**
#10 aspirate
#11 ‘predictive value’
#12 sensitivity
#13 specificity
#14 sepsis
#15 ‘body fluid’**
#16 ‘systemic inflammatory response syndrome’
#17 ‘routine culture’
#18 ‘skin culture’
#19 ‘surface culture’
#20 ‘bacterial colonisation’
#21 ‘microbiological screening’
#22 swab**
#23 #1 OR #2 OR #3
#24 #4 OR #5 OR #6 OR #7 OR #8 OR #9 OR #10 OR #11 OR #12 OR #13 OR #17 OR #18 OR #19 OR #20 OR #21 OR #22
#25#14 OR #15 OR #16
#26 #23 AND #24 AND #25

Grey literature
We will search for grey literature using the Grey Matters Light checklist of the Canadian Agency for Drugs and Technologies in Health (CADTH) (http://www.cadth.ca/resources/finding-evidence/grey-matters-practical-search-tool-evidence-base-medicine).

Study selection
The study selection process will involve the following steps:

▶ Screening of titles and abstracts.
▶ Screening of full texts.

At both steps, screening will be conducted by two independent reviewers. Potential disagreement will be resolved by discussion or by involving a third reviewer. We will construct a flow chart to document the selection process. A list of excluded studies will be prepared, along with reasons for exclusion.

Data extraction and management
From the included studies, we will extract data on study characteristics and study results. We will construct a data extraction form and pilot test it prior to the start of the review process. Microsoft Office Excel will be used to construct specific extraction forms. One researcher will perform data extraction while a second researcher will independently check for accuracy and details. The following data will be extracted from the original studies:

▶ General study characteristics:
  – complete reference of the study (author, year of publication, title, journal, citation details)
  – date of study
  – place
  – setting (hospital, department, unit, ward)
  – study design
  – funding source
▶ Patient/population characteristics:
  – inclusion criteria
  – exclusion criteria
  – gestational age at birth
  – birth weight
  – age at screening
  – sex
  – ethnicity
  – length of follow-up (time interval between index test and outcome assessment)
  – comorbidities
  – central line use
  – need for surgery
▶ Index test characteristics:
  – description of sampling device
  – sampling time point(s)
  – sampling location(s) (eg, umbilicus, tracheal, rectal, etc)
  – sampling intervals (if repetitive)
  – processing of specimen
  – detected bacteria (species, characterisation)
▶ Outcome:
  – definition of sepsis
  – detected bacteria (species, characterisation)
▶ (Co)-interventions
  – antibiotic use
  – isolation
  – hand hygiene
▶ Prognostic accuracy measures:
  – true positives
  – true negatives
  – false positives
  – false negatives
▶ Measures of association (risk factor approach):
  – unadjusted relative risk (or OR)
  – adjusted relative risk (or OR)
  – confounders considered in adjusted analysis.

Risk of bias assessment
Following the guidance of the PRECEPt framework,11 25 we will use the QUADAS-2 tool to assess risk of bias in the included individual studies which report measures of prognostic accuracy.22 Table 1 shows the main components of the tool. The results of the risk of bias assessment will be documented in a separate table for each study alongside the items of QUADAS-2. For studies reporting on prognostic measures in terms of a risk factor (or prognostic study), we will use the QUIPS tool.23 We will construct bar charts as suggested by Van’t Hooft et al21 to report summary results of the risk of bias assessments.

Subgroup analyses

We will extract detailed information on study participants, definitions and settings to enable stratified analysis. In particular, we aim at stratifying the results of the systematic review and meta-analysis, respectively, according to the following variables:

- **General study characteristics:**
  - geographic region (Europe vs North America, etc)
  - developed country versus developing country
- **Patient/population characteristics:**
  - gestational age (<37 weeks vs ≥37 weeks; <32 weeks vs ≥32 weeks; <26 weeks vs ≥26 weeks)
  - birth weight (<1000 g vs ≥1000 g; <1500 g vs ≥1500 g; <2500 g vs ≥2500 g)
  - length of follow-up (time interval between index test and outcome assessment)
- **Index test characteristics:**
  - sampling time point(s)
  - sampling location(s) (umbilicus vs tracheal, etc)
  - species: single species; groups (multidrug-resistant; difficult to treat)
- **Outcome characteristics:**
  - different definitions of sepsis
- **Study setting**
  - clinical routine
  - study
  - outbreak investigation
  - type of ward
- **Study design.**

Statistical analysis

**Prognostic accuracy approach:** For quantitative data synthesis on prognostic accuracy, we will construct 2×2 tables to calculate sensitivity and specificity for each included study. If sufficient comparable data from more than one study are available, we will perform meta-analysis. To account for the correlation between sensitivity and specificity, we will calculate summary estimates using hierarchical summary receiver operating characteristics models as well as bivariate models. Results will be displayed graphically using summary receiver operating characteristic (SROC) plots. We will investigate sources of heterogeneity, using subgroup analysis.

**Risk factor approach:** For quantitative data synthesis using the risk factor approach, pooled summary estimates will be calculated as relative risk or OR with 95% CIs, using fixed-effects and random-effects models. I-squared will be used to assess heterogeneity. If ≥10
studies per outcome are available, publication bias will be assessed by inspection of funnel plots and applying Begg's and Egger's test.

All calculations will be performed in STATA. The results of the meta-analysis will be used to calculate positive predictive value, negative predictive value and number needed to be screened to prevent one case of sepsis.

Certainty in the evidence (GRADE)

We will use two complementary approaches to assess the certainty in the evidence (formerly: quality of the evidence) according to the methodology suggested by the Grading of Recommendations Assessment, Development and Evaluation (GRADE) working group. Prognostic accuracy approach: We will adopt the GRADE approach to diagnostic accuracy test reviews for the purpose of our systematic review on prognostic test accuracy. The certainty in the evidence will be assessed for true positives (TP), true negatives (TN), false positives (FP) and false negatives (FN), as suggested by GRADE. In brief, the application of GRADE will be conducted as follows:

- For each body of evidence on diagnostic studies, all studies start as 'high'. 'True positives', 'true negatives', 'false positives' and 'false negatives' are defined as outcomes.
- Risk of bias is assessed by the QUADAS-2 tool, and evidence quality can be downgraded, if necessary.
- Thereafter, the other GRADE criteria for downgrading quality of evidence (inconsistency, indirectness, imprecision, publication bias) are applied, according to the approach published by the GRADE working group.

Risk factor approach: We will use the GRADE approach to risk factor/prognostic factor studies. The certainty in the evidence will be assessed for the outcome late-onset sepsis according to the GRADE methodology as follows:

- For each body of evidence, certainty in the evidence is initially rated as 'high', irrespective of study design.
- Risk of bias is assessed by the appropriate risk of bias tool, and evidence certainty can be downgraded, if necessary.
- Thereafter, the other GRADE criteria for downgrading quality of evidence (inconsistency, indirectness, imprecision, publication bias) are applied.
- Upgrading of the quality of evidence is possible, according to the criteria introduced by GRADE.

Reporting of this review

The systematic review will be reported according to the PRISMA guidelines. The PRISMA checklist will be published with the report.

DISSEMINATION OF FINDINGS

The resulting systematic review will be published in a peer-reviewed journal as an open access paper.

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Contributors

TH, JS and SH developed the concept of this protocol. TH wrote the first draft. JS, BW, TE and SH provided important intellectual input to revise the draft protocol. All authors approved the final manuscript as submitted. TH is the guarantor of this protocol.

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Disclaimer

The funder had no role in the development and writing of this protocol.

Ethics approval

This study will not require ethical approval since it is not carried out in humans.

Competing interests

None declared.

Provenance and peer review

Not commissioned; externally peer reviewed.

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