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**Estimating true incidence of O157 and non-O157 Shiga toxin-producing Escherichia coli illness in Germany based on notification data of haemolytic uraemic syndrome**  
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1 **Estimating true incidence of O157 and non-O157 Shiga toxin-producing *Escherichia coli***  
2 **illness in Germany based on notification data of Haemolytic Uremic Syndrome**

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21 ***Running head: Incidence of STEC illness in Germany***

## 22 **Summary**

23 Shiga toxin-producing *Escherichia coli* (STEC) is an important cause of gastroenteritis (GE)  
24 and haemolytic uremic syndrome (HUS). Incidence of STEC-illness is largely underestimated  
25 in notification data, particularly of serogroups other than O157 (“non-O157”). Using HUS  
26 national notification data (2008-2012, excluding 2011), we modelled true annual incidence of  
27 STEC-illness in Germany separately for O157 and non-O157 STEC, taking into account the  
28 groups’ different probabilities of causing bloody diarrhoea and HUS, and the resulting  
29 difference in their ascertainment. Uncertainty of input parameters was evaluated by stochastic  
30 Monte Carlo simulations. Median annual incidence of STEC-associated HUS and STEC-GE  
31 was estimated at 0.11 (95% CrI 0.08-0.20), and 34.6 (95% CrI 12-145) per 100,000  
32 population, respectively. German notification data underestimated STEC-associated HUS and  
33 STEC-GE incidences by factors of 1.8 and 32.3, respectively. Non-O157 STEC accounted for  
34 78% of all STEC-GE, 51% of all bloody STEC-GE and 32% of all STEC-associated HUS  
35 cases. Non-O157 serogroups dominate incidence of STEC-GE and contribute significantly to  
36 STEC-associated HUS in Germany. This might apply to many other countries considering  
37 European surveillance data on HUS. Non-O157 STEC should be considered in parallel to  
38 STEC O157 when searching aetiology in patients with GE or HUS, and accounted for in  
39 modern surveillance systems.

## 40 **Introduction**

41 Shiga toxin-producing *Escherichia coli* (STEC) is an important cause of gastroenteritis (GE)  
42 and life-threatening haemolytic uremic syndrome (HUS) in many countries. STEC has a  
43 zoonotic reservoir (mainly ruminants) and is transmitted by inadvertent ingestion of small  
44 amounts of faecal matter. The serotype is an indicator of the genomic strain content and  
45 incidence of human illness and disease severity varies by serotype [1, 2]. Evidence from  
46 observational studies suggest that STEC of serogroup O157 with serotypes H7 or H- (O157  
47 STEC) are, on average, substantially more virulent than other (“non-O157”) STEC implicated  
48 with human illness [2-4]. O157 STEC is the leading cause of paediatric HUS [5] and the most  
49 frequently isolated etiologic agent in STEC outbreaks worldwide [6]. These organisms can be  
50 easily identified by culture on selective and differential agar [7], except rarely identified  
51 sorbitol-fermenting (sf) clones [8, 9].

52 Non-O157 STEC represents a genomically heterogeneous group of organisms, comprising  
53 STEC with little or no virulence to humans but also, for example, STEC O104:H4 that caused  
54 the largest outbreak of HUS thus far [10]. Currently, diagnosis of non-O157 STEC is more  
55 complex and requires screening for Shiga toxins or their encoding genes. Culture isolation  
56 and subsequent serotyping is often conducted only at public health laboratories. Diagnosis of  
57 non-O157 STEC is disproportionately underutilized, even in countries where their diagnosis  
58 is recommended. Consequently, surveillance for non-O157 STEC in many countries is less  
59 inclusive than for O157 STEC and their contribution to incidence of STEC illness has been  
60 insufficiently determined.

61 Notification data, including statutory, capture only a fraction of illnesses that is occurring in  
62 the population. In Germany, median annual incidence (per 100,000 population) of

63 notifications reports 0.06 cases for STEC-associated HUS (and 1.07 cases for STEC-GE) for  
64 2008-2012 excluding 2011 (<https://survstat.rki.de>, data version 01/07/2014).

65 Studies addressing underestimation in notification data and the quantitative relation of non-  
66 O157 STEC to O157 STEC are helpful to inform diagnostic and surveillance strategies – as  
67 were previous studies for other gastroenteric pathogens [11].

68 The few available studies suggest a true annual incidence of STEC-associated infections  
69 between 47 and 100 per 100000 population for Europe [12] and Northern America [13, 14]  
70 and 0.15 STEC-associated HUS [12]. Estimated proportions of non-O157 in STEC-GE were  
71 62% and 64% in Canada [14] and the United States [13] respectively. All available studies  
72 extrapolated data from different countries or data on other pathogens than STEC for their  
73 estimation models [12-14], thus introducing a further source adding to the inherent  
74 uncertainty of stochastic modelling. Furthermore, estimates of overall STEC-GE and the  
75 proportion of O157 STEC are based, at best, on STEC-GE surveillance data [13] with all its  
76 diagnostic vagaries mentioned afore, or on assumptions [12, 14] but not on HUS statutory  
77 surveillance data.

78 Our objectives were to estimate annual frequency and incidence of STEC-associated HUS and  
79 STEC-GE in Germany based on German national notification data for enteropathic HUS –  
80 overall and separately for O157 STEC and non-O157 STEC - to inform diagnostic-, and  
81 surveillance-strategies.

## 82 **Methods**

83 Using HUS national notification data as a starting point, we modelled true annual incidence of  
84 STEC-illness in Germany separately for O157 and non-O157 STEC, taking into account

85 group specific underestimation caused by underreporting of notification data and  
86 ascertainment, see Figure 1.

### 87 Diagnosis and surveillance of STEC-GE and “enteropathic” HUS in Germany

88 In Germany, diagnosis of STEC in GE and HUS patients is based on detection of Shiga toxins  
89 or their encoding genes in stool enrichment culture or isolates. Subsequent culture isolation  
90 and serotyping is recommended but not mandatory and rarely performed in clinical  
91 laboratories. In HUS patients, evidence for an STEC infection can also be established by  
92 detecting anti-lipopolysaccharide IgM antibodies against *E. coli* serogroups in blood by  
93 specialised laboratories (which in the study period included only antibodies against the  
94 serogroup O157).

95 According to the German Protection against Infection Act, both laboratory detection of STEC  
96 infection in stool and clinically diagnosed “enteropathic” (i.e. GE-associated) HUS are  
97 notifiable (see supplementary material for national surveillance case definitions).

98 Electronic case reports are sent from the local health department via State Health Departments  
99 to the federal-level public health institute, the Robert Koch Institute (RKI), where reports are  
100 hosted in a national database. In addition, RKI conducts active surveillance for paediatric  
101 HUS since 2008 in collaboration with the German Society for Paediatric Nephrology. This  
102 surveillance entails monthly inquiries to all paediatric nephrology centres (PNC) in Germany  
103 about incident HUS cases in children (<18y) of the past month.

### 104 Risk model for STEC illness in Germany

105 We used German notification data on enteropathic HUS, reported to the RKI for the years  
106 2008-2012 (excl. 2011 because of a large outbreak of STEC O104:H4 [10]) as the basis to  
107 estimate the true annual incidence of STEC-GE in Germany.

108 We computed estimates separately for the groups of STEC O157 and non-O157 STEC, taking  
109 into account the groups' different average capability of causing acute bloody diarrhoea [15],  
110 and HUS and the resulting difference in underascertainment caused by symptomatic cases not  
111 attending health facilities (for differences in clinical severity) or by not being correctly  
112 diagnosed as a case (for differences in diagnostics as outlined above). Furthermore,  
113 underreporting of cases from health facilities to public health authorities adds to  
114 underestimation of STEC-GE incidence.

115 Our estimations were conducted in the following sequence (see also Figure 1):

116 a) Adjustment for underreporting of HUS

117 To estimate the true median annual number of enteropathic HUS, adjustment for  
118 underreporting was conducted separately for cases treated in PNCs and non-PNCs. For  
119 PNCs, we used a two-source capture-recapture approach (statutorily passive HUS  
120 surveillance and active paediatric HUS surveillance) to estimate the magnitude of  
121 underreporting of notification data. We assumed underreporting by non-PNCs to be up to  
122 ten times more common than in PNCs as HUS cases are infrequently treated in these  
123 institutions. Consequently, knowledge of infectious disease notification requirements,  
124 otherwise seldom needed in nephrology units, is likely to be less prevalent among medical  
125 personnel in non-PNCs.

126 b) Estimating the proportion of STEC-associated HUS

127 Evidence of STEC infection cannot be established in every case of "enteropathic" HUS.  
128 Using literature (described in detail in the supplementary material) on microbiological  
129 evidence of STEC in HUS patients in Germany, we estimated the proportion of  
130 enteropathic HUS caused by STEC infection [17]. This proportion was subsequently

131 multiplied by the estimated number of all HUS cases per year to obtain the number of  
132 estimated STEC-associated HUS cases.

133 c) Estimating the proportion of O157 and non-O157 among STEC-associated HUS

134 The proportion of O157 among STEC-associated HUS in Germany was derived from  
135 literature [17, 18] and combined in a beta distribution as outlined in Table 1. This  
136 proportion was multiplied by the annual number of STEC-associated HUS cases to  
137 estimate the O157-associated HUS cases (the remaining STEC-HUS cases were thus non-  
138 O157 associated). All further calculations were conducted separately for O157 and non-  
139 O157 -associated HUS cases.

140 d) Estimating the number of laboratory confirmed STEC-GE cases per HUS case

141 Using literature information on the proportion of HUS-cases among laboratory-confirmed  
142 STEC-GE cases [19], we multiplied the estimated annual number of STEC-associated  
143 HUS cases by the factor for STEC-GE cases per STEC-associated HUS case separately  
144 for O157 and non-O157 (beta distribution).

145 e) Estimating the proportion of bloody diarrhoea among O157 and non O157 STEC-GE  
146 cases

147 In addition, we used literature for estimates on the proportion of bloody diarrhoea among  
148 O157 and non-O157 STEC-GE cases [19]. Annual frequencies for STEC-GE with bloody  
149 and non-bloody diarrhoea were used to account for underascertainment according to  
150 severity in a next step (separately for O157 and non-157).

151 f) Estimated underascertainment of bloody and non-bloody diarrhoea

152 Underascertainment was accounted for in a procedure incorporating three steps: Using  
153 literature information, we first estimated the proportion of symptomatic patients consulting



154 a physician, thereafter the proportion of patients that provided stool specimens for  
155 microbiological testing [20, 21] and finally the proportion of stool samples tested for STEC  
156 [22] based on German laboratory recommendations on test strategies for faecal samples  
157 [22].

158 The estimated annual number of true STEC-GE cases and STEC-associated HUS cases in  
159 Germany, differentiated for O157 and non-O157, were converted to annual cumulative  
160 incidence per 100000 population, using the mean population size of Germany 2008-2012,  
161 excluding 2011, obtained from Germany's Federal Statistical Office.

#### 162 Evaluation of uncertainty

163 We used Monte Carlo simulation in @RISK version 6.1.1 (Palisade Corporation, Ithaca, NY)  
164 with Latin Hypercube sampling and 10000 iterations to evaluate uncertainty in the outputs.  
165 All input data was considered to be subject to uncertainty and parameters were therefore  
166 described by probability distributions. Generally, proportions were described by beta  
167 distributions and the HUS rate was described by a gamma distribution [16]. Pert distributions  
168 were used for multiplication factors where sufficient data to inform beta-distributions was  
169 unavailable. Distribution parameterization was done as displayed in Table 1. The results are  
170 reported as the median and the 95% credible interval.

171 A sensitivity analysis was conducted to evaluate the contribution of the input parameters to  
172 the overall uncertainty in outcome estimates to identify which input parameter shows the  
173 biggest influence on the output.

174 In addition we examined two scenarios using alternative values of particularly uncertain input  
175 parameters to investigate their effect on the outcome estimates (keeping all other variables of  
176 the model constant). For details see supplementary material. In a conservative Scenario (1) we

177 assumed that degree of underreporting of HUS did not differ between PNCs and non-PNCs  
178 and that all stool samples submitted for microbiological testing were investigated for STEC  
179 regardless of whether blood was visible. In Scenario 2 we re-parameterized the model using  
180 input parameters for underascertainment based on findings of a survey in the Federal State of  
181 Hesse for in children <16 years of age [21], to account in our estimates for  
182 underascertainment for the higher incidence of STEC illness in children.

### 183 Literature survey

184 We searched Medline and SCOPUS literature for information about STEC in Germany  
185 published since inception of Medline and SCOPUS bibliographic database to 31/12/2014 with  
186 the objective to identify for patients in Germany the proportion of STEC-associated HUS  
187 among enteropathic HUS cases (as input parameter for estimation step b), the proportion of  
188 O157 STEC among STEC-associated HUS (step c) and the proportion of HUS and bloody  
189 diarrhoea among laboratory confirmed STEC-GE separately for O157 and non-O157  
190 serogroups (step d). Our second objective was to identify underascertainment of bloody and  
191 non-bloody diarrhoea (step f), including the proportion of physician consultations in cases of  
192 bloody and non-bloody diarrhoea and the proportion of physicians taking stool samples in  
193 cases of bloody and non-bloody diarrhoea.

194 We used the search terms (enterohemorrhagic escherichia coli OR stec OR escherichia coli  
195 o157 OR e coli o157) AND (Germany) to identify input parameters for step b-e. We used  
196 search terms (gastroenteritis OR gastrointestinal illness OR gastrointestinal infections) AND  
197 Germany AND (healthcare OR medical care) in titles and abstracts for step f.

198 We required articles for all steps to provide data in sufficient detail for O157 and non-O157  
199 regarding proportion of HUS and bloody diarrhoea and to refer to data that pertained to

200 Germany recognizing that serogroup distribution among GE and HUS cases as well as health  
201 seeking behaviour may vary between countries. In addition, we required information for steps  
202 d-f to be derived from population-based surveys or sentinel surveillance projects to increase  
203 accuracy of these estimates. Search results for Medline and Scopus were combined and de-  
204 duplicated. Two investigators screened documents independently, in case of discrepancies  
205 consensus in discussion was sought. Documents were first screened by reviewing titles and  
206 abstracts were available. Identified documents were screened against inclusion and exclusion  
207 criteria outlined above. From the identified documents absolute numbers were extracted and  
208 used as input variables in the estimation model as outlined in Table 1.

## 209 **Results**

210 We identified five relevant publications, three for step b to e and two for step f [17-21] that  
211 together provided information for all required input parameters, see Figure 2 and  
212 supplementary material. These publications, German notification data and German laboratory  
213 guidelines formed the backbone of the simulation model and are outlined in Table 1.

214 We estimated a median annual number of 90 cases of STEC-associated HUS in Germany  
215 during the study period, corresponding to an incidence of 0.11 (95% CrI 0.08-0.20) per  
216 100000 population; a median of 60 cases due to STEC O157 (incidence 0.07; 95% CrI 0.05-  
217 0.13) and a median of 29 cases due to non-O157 STEC (incidence 0.04; 95% CrI 0.03-0.07),  
218 see Table 2. From these, we estimated that a median of 28347 STEC-GE cases occurred per  
219 year in the German population, indicating an incidence of 34.6 (95% CrI 12.0-145) per  
220 100000 population; a median of 4969 cases due to O157 STEC (incidence 6.07; 95% CrI 2.2-  
221 23.7) and a median of 22019 cases due to non-O157 STEC (incidence 26.9; 95% CrI 8.0-  
222 133).

223 Our estimates correspond to a median annual underestimation of STEC-associated HUS and  
224 STEC-GE in the German notification data by a factor of 1.8 (1.3-3.3) and 32.3 (11.2-135)  
225 respectively.

226 Non-O157 STEC accounted for 81% (49%-96%) of all STEC-GE and 51% (16% - 86%) of  
227 all bloody STEC-associated diarrhoea.

228 Sensitivity analysis indicated that the proportion of HUS cases among laboratory confirmed  
229 non-O157 STEC exerted the biggest influence on the outcome of all input parameters,  
230 followed by the proportion of stool samples tested for STEC and the completeness of HUS-  
231 notifications from non-PNCs, see Figure 3.

232 In scenario analysis, the median annual incidence of STEC-GE ranged from 17.1 (95%-CI:  
233 7.6-61) per 100000 population in scenario 1 to 72 (95%-CI: 22.3-339) in scenario 2 and of  
234 STEC-associated HUS from 0.08 (95%-CI: 0.07-0.09) in scenario 1 to 0.11 (95% CrI 0.08-  
235 0.20) in scenario 2 (unchanged to the point estimate).

236 The proportion of non-O157 STEC among STEC-GE, bloody diarrhoea and STEC-associated  
237 HUS did not vary in the different scenarios (see supplementary material for detailed results).

## 238 **Discussion**

239 We estimated the true frequency and incidence of STEC illness in the German population,  
240 separately for O157 and non-O157 STEC, based on statutory notification data on HUS. The  
241 study yielded the following main findings: The median annual incidence per 100000  
242 population was estimated at 34.6 (95% CrI 12.00-145.00) for STEC-GE and 0.11 for STEC-  
243 associated HUS (95% CrI 0.08-0.20). German notification data underestimated STEC-  
244 associated HUS and STEC-GE incidences by factors of 1.8 and 32.3, respectively. Non-O157

245 STEC accounted for approximately 80% of all STEC-GE, half of all bloody STEC-associated  
246 diarrhoea and one-third of all STEC-associated HUS cases, hence contributing to STEC-  
247 illness to an even larger extent than previously estimated [13, 14].

248 Our incidence point estimates for STEC-GE and HUS are slightly lower than those published  
249 for Europe (47 and 0.15 respectively) [12], the United States (59 for STEC-GE) [13] and  
250 Canada (100 for STEC-GE) [14], but in keeping considering the degree of uncertainty  
251 accompanying our estimate. Particularly the incidence for O157-STEC-GE is lower than  
252 estimated for other European countries such as the Netherlands [20, 23], Denmark or the  
253 United Kingdom [20], and for the United States and Canada [12, 13]. In Germany, neither  
254 laboratory-based (passive) surveillance of STEC-GE nor (active) HUS-surveillance ever  
255 identified an outbreak with “classical” non-sorbitol fermenting O157-STEC comprising five  
256 persons or more, but did so for outbreaks with other serotypes [24, 25]. We are unaware of  
257 specific control plans for O157 STEC in animal reservoirs or the food-production chain that  
258 would explain this observation. Thus, our estimation of a comparatively low O157-STEC  
259 incidence adds additional weight to the view that O157-STEC pose a limited public health  
260 problem in Germany.

261 Of note, according to surveillance data (2008-2012, excl. 2011) reported to the European  
262 Centre for Disease Control and Prevention (ECDC) from other countries in the European  
263 Union, a slightly higher percentage (40%, 391/659) of all STEC identified in reported HUS  
264 patients belonged to non-O157 serogroups (data provided by ECDC extracted from The  
265 European Surveillance System - TESSy). This may indicate that non-O157 STEC contribute  
266 to STEC-GE incidence in other European countries even more than in Germany (where non-  
267 O157 STEC account for 80% of STEC-GE). Yet, only 33% of STEC-GE captured in  
268 surveillance systems in Europe were attributed to infection by non-O157 strains during the

269 study period [26, 27], underscoring the large degree of underascertainment of these STEC  
270 strains in GE patients in Europe. In recent years, the proportion of non-O157 STEC increased,  
271 likely indicating a more frequent use of serogroup-independent testing in Europe [26, 27].  
272 In Germany, the contribution of the different non-O157 serogroups to STEC-illness remained  
273 fairly constant over the last 10 years (except in 2011) according to German surveillance data  
274 with serogroups O26, O103 being the most frequently isolated non-O157 STEC in children  
275 and O91 in adults [19, 28]. The numerous different non-O157 STEC vary dramatically in  
276 their virulence. On average though, they less frequently causes life-threatening HUS (in  
277 children) or disease outbreaks, and, importantly, their diagnosis currently is more complex,  
278 time-consuming and expensive. Thus, the question about the cost-effectiveness of screening  
279 for non-O157 has been raised [29, 30]. Apart from their markedly more frequent occurrence  
280 as etiologic agent in human GE than STEC O157 and their substantial contribution to the  
281 burden of bloody diarrhoea and HUS, new STEC strains are likely to evolve of which some  
282 will cause outbreaks (e.g., STEC O104:H4)[10]. For the latter reason alone we believe that  
283 modern STEC diagnosis and consequently surveillance systems should encompass timely  
284 detection of non-O157 STEC (including information on the serotype or other  
285 epidemiologically meaningful subtyping information), even in countries where STEC O157  
286 appears to dominate.

#### 287 Validity of risk model

288 Our “top-down” approach of estimating STEC incidence based on HUS notification data is  
289 new and we believe is advantageous for at least two reasons. Firstly, statutory HUS  
290 surveillance is more sensitive than STEC-GE surveillance and in conjunction with active  
291 paediatric HUS surveillance in Germany allowed for an accurate estimate of its  
292 underreporting. Furthermore, STEC aetiology in (paediatric) HUS patients has been

293 extensively studied in Germany [17, 18]. Taken together, HUS incidence and the individual  
294 contribution of O157 and non-O157 STEC could be estimated with little uncertainty.  
295 Second, our estimations were purposively based solely on information on STEC in Germany,  
296 preventing the need of extrapolating from data gathered in other countries as another source  
297 of uncertainty.  
298 By far the greatest source of uncertainty was the proportion of HUS among patients infected  
299 by a non-O157 STEC because it was based on small numbers. However, our estimate is in  
300 agreement with data from other countries [31]. Likewise, other findings are corroborated by  
301 data sources not used in our estimation. For example, the estimated proportion of non-O157  
302 STEC-associated HUS (33%) is consistent with that observed in national HUS notification  
303 data during the study period (34%). Furthermore, the proportion of non-O157 serogroups in  
304 STEC-GE and STEC-associated bloody diarrhoea in Germany is consistent with both national  
305 notification data on STEC-GE and with a nationwide laboratory sentinel conducted at the  
306 beginning of the century in Germany [19].

### 307 Limitations

308 As previously published risk models, ours did not account for the effect of age because age-  
309 specific data was unavailable for many estimation steps. Yet, the serogroup-specific incidence  
310 for STEC-GE and the HUS-incidence vary with age. Most available studies focussed  
311 exclusively or primarily on children (who should have the highest true incidence of STEC-GE  
312 and HUS in Germany), which is why uncertainty of estimates is likely highest for adults.  
313 In addition, non-O157 STEC consist of different pathogens with a variety of virulence genes  
314 and estimates for non-O157 relate to the (fairly stable) distribution of different strains in  
315 Germany and can be different in other countries. However, virulence based model input data  
316 for different non-O157 strains were not available in sufficient detail.

317 Furthermore, some input data of our risk model lack an evidence base as no study was  
318 available to support our assumptions, such as underreporting from non-PNCs and the  
319 adherence to lab guidelines for testing stool samples of gastroenteritis cases. These two  
320 parameters were among the top-3 influential parameters in the sensitivity analysis, warranting  
321 further data collection to decrease this uncertainty. Furthermore, not all literature sources used  
322 for our risk model distinguished between (rare) sf-O157 STEC and (“classic”) non-sf O157  
323 STEC. Because sf-O157 STEC infection progresses with a higher probability from diarrhoea  
324 to HUS [32], we slightly overestimated STEC-GE incidence of serogroup O157.  
325 Completeness of HUS notification is likely overestimated in this study because concurrently  
326 conducted active paediatric surveillance included reminders of notification obligations when  
327 continuously monitoring HUS cases ascertained in the active system.

### 328 Conclusions

329 Statutory notification data largely underestimate STEC-GE in Germany, where STEC  
330 diagnosis is based on serogroup-independent testing for Shiga toxins or their encoding genes.  
331 Contribution of non-O157 serogroups to STEC GE incidence appear to be higher than  
332 previously estimated [13, 14], not only including a large number of mild illnesses but also  
333 half of all STEC-associated bloody diarrhoea cases. Considering European surveillance data  
334 on HUS, this finding is probably true for many other countries in Europe. Surveillance of  
335 HUS complements that of STEC-GE, not only by allowing for detecting outbreaks that  
336 otherwise go unrecognized [33] and reliably monitoring trends of STEC infection [34], but  
337 also by aiding in estimating STEC incidence estimating thereby helping to validate  
338 notification data.  
339 Non-O157 STEC should be considered in parallel to STEC O157 when searching aetiology in  
340 patients with GE or HUS, and accounted for in modern surveillance systems for STEC illness.



341 **Authors' contributions**

342 DW and AK designed the study and developed the risk model. AK conducted the systematic  
343 review of previous evidence and input parameters and provided all figures and tables. MB and  
344 AH conducted the mathematical modelling. AK and DW wrote the manuscript. PH and the  
345 active HUS surveillance network provided the data for the first step of estimation. KS and AG  
346 provided input to the manuscript. All authors reviewed and approved the final manuscript.

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351 **Conflict of Interests**

352 We declare no competing interests.

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436

437 **Tables**

438 Table 1: Input parameters for the risk model to estimate true incidence of O157 and non-O157 STEC illness in  
439 Germany based on notification data of Haemolytic Uremic Syndrome (HUS)

| Steps in estimation   | Parameters  | S <sup>#</sup> | N <sup>φ</sup>               | Distribution*                   | Median             | 95% CrI                                 | Source  |
|---|---|----------------|------------------------------|---------------------------------|--------------------|---|---|
| <b>1. HUS notifications</b>   | Incidence of notified cases   | 260            | 327<br>×<br>10 <sup>8†</sup> | Gamma(260, 3×10 <sup>-9</sup> ) | 8×10 <sup>-7</sup> | 7×10 <sup>-7</sup> - 9×10 <sup>-7</sup> | German notification data                              |
| <b>a. Adjustment for underreporting separately for cases treated in PNCs and non-PNCs</b> | Proportion of HUS-notifications treated by PNCs   | 153            | 254                          | Beta(154, 102)                  | 0.60               | 0.54 - 0.66                             | National active and passive surveillance, unpublished |
|   | Completeness of HUS-notification from PNCs  | 153            | 183                          | Beta(154, 31)                   | 0.83               | 0.78 - 0.88                             | National active and passive surveillance, unpublished |
|   | Multiplication factor to extrapolate completeness of notification from PNCs to non-PNCs | -              | -                            | Pert(0.1, 0.5, 1)               | 0.51               | 0.21 - 0.84                             | Assumption  |
| <b>b. Proportion of STEC-associated HUS among enteropathic HUS cases</b>                  | Proportion of STEC-associated-HUS   | 327            | 394                          | Beta(328, 68)                   | 0.83               | 0.79 - 0.86                             | Gerber et al. 2002                                    |
| <b>c. Proportion of O157 and non-O157 among STEC-associated HUS</b>                       | Proportion of O157 in STEC-associated HUS   | 138            | 207                          | Beta(494, 239)                  | 0.67               | 0.64 - 0.71                             | Gerber et al. 2002;                                   |
|   |   | 355            | 524                          |                                 |                    |   | Mellmann et al. 2008                                  |
| <b>d. Number of laboratory confirmed STEC-GE cases per HUS case</b>                       | Proportion HUS among laboratory-confirmed STEC in O157                                  | 3              | 27                           | Beta(4, 25)                     | 0.13               | 0.04 - 0.28                             | Werber et al. 2007                                    |
|   | Proportion HUS among laboratory-confirmed STEC in non-O157                              | 2              | 149                          | Beta(3, 148)                    | 0.02               | 0.00 - 0.05                             | Werber et al. 2007                                    |
| <b>e. Proportion of bloody diarrhoea in O157 and non-O157 among STEC-GE cases</b>         | Proportion of cases experiencing bloody diarrhoea in O157                               | 10             | 27                           | Beta(11, 18)                    | 0.38               | 0.22 - 0.56                             | Werber et al. 2007                                    |
|   | Proportion of cases experiencing bloody diarrhoea in non-O157                           | 16             | 149                          | Beta(17, 134)                   | 0.11               | 0.07 - 0.17                             | Werber et al. 2007                                    |
| <b>f. Underascertainment of bloody and non-bloody diarrhoea</b>                           | Proportion of patients visiting physicians with bloody diarrhoea                        | 21             | 41                           | Beta(22,21)                     | 0.51               | 0.36 - 0.66                             | Haagsma et al. 2013                                   |
|   | Proportion of patients visiting physicians with non-bloody diarrhoea                    | 458            | 1342                         | Beta(555, 1093)                 | 0.34               | 0.31 - 0.36                             | Haagsma et al. 2013;                                  |
|   |   | 96             | 304                          |                                 |                    |   | Hauri et al. 2011                                     |
|   | Proportion of physicians taking lab samples from patients with bloody diarrhoea         | 10             | 20                           | Beta(11,11)                     | 0.50               | 0.30 - 0.70                             | Haagsma et al. 2013                                   |
|   | Proportion of physicians taking lab samples from patients with non-bloody diarrhoea     | 155            | 456                          | Beta(170, 383)                  | 0.31               | 0.27 - 0.35                             | Haagsma et al. 2013;                                  |
|   |   | 14             | 95                           |                                 |                    |   | Hauri et al. 2011                                     |
| Proportion of stool samples tested for STEC from patients with bloody diarrhoea           | -   | -              | None                         | 1.00                            | 1.00 - 1.00        | Kist et al. 2013                        |   |
| Proportion of stool samples tested for STEC from patients with non-bloody diarrhoea       | -   | -              | Pert(0.1, 0.8, 1)            | 0.74                            | 0.37 - 0.96        | Kist et al. 2013, assumption            |   |

440 # Nominator      φ Denominator      ‡ The unit of measurement is person-years-at-risk for this parameter

441 \* For Gamma( $r, \lambda$ )  $r$  equals  $s$  and  $\lambda$  equals  $1/N$ ; For Beta( $a, b$ ),  $a$  equals  $\text{Sum}(s)+1$  and  $b$  equals  $\text{Sum}(N)-\text{Sum}(s)+1$

Table 2: Results of modelling true median annual incidence and cases number of O157 and non-O157 STEC illness in Germany based on notification data of Haemolytic Uremic Syndrome (HUS)

| No. |  |                                 | Total  | O157<br>total                                      | O157<br>bloody GE                                | O157<br>non-bloody GE                                | non-O157<br>total  | non-O157<br>bloody GE                             | non-O157<br>non-bloody GE                                |
|-----|--|---------------------------------|--|--|--|--|--|---|--|
|     | HUS notifications  | Median<br><br><i>Incidence*</i> | 65<br>(58 – 74)<br><br>0.08<br>(0.07 – 0.09)               |  |  |  |  |   |  |
| 2   | Estimated true number of HUS cases                                   | Median<br><br><i>Incidence*</i> | 108<br>(80 – 197)<br><br>0.13<br>(0.10 – 0.24)             |  |  |  |  |   |  |
| 3   | Estimated true number of STEC-ass. HUS cases                         | Median<br><br><i>Incidence*</i> | 90<br>(66 – 164)<br><br>0.11<br>(0.08 – 0.20)              | 60<br>(44 – 110)<br><br>0.07<br>(0.05 – 0.13)      |  |  | 29<br>(21 – 54)<br><br>0.04<br>(0.03 – 0.07)             |   |  |
| 4   | Estimated true number of laboratory confirmed symptomatic STEC cases | Median<br><br><i>Incidence*</i> | 2312<br>(954 – 8864)<br><br>2.83<br>(1.20 – 10.80)         | 486<br>(199 – 1705)<br><br>0.59<br>(0.24 – 2.08)   | 181<br>(63 – 678)<br><br>0.22<br>(0.08 – 0.83)   | 299<br>(116 – 1079)<br><br>0.37<br>(0.14 – 1.32)     | 1706<br>(577 – 7999)<br><br>2.09<br>(0.70 – 9.80)        | 189<br>(58 – 908)<br><br>0.23<br>(0.07 – 1.11)    | 1514<br>(513 – 7096)<br><br>1.85<br>(0.60 – 8.7)         |
| 5   | Estimated true number of symptomatic STEC cases                      | Median<br><br><i>Incidence*</i> | 28347<br>(10217 – 119041)<br><br>34.63<br>(12.00 – 145.00) | 4969<br>(1835 – 19406)<br><br>6.07<br>(2.2 – 23,7) | 730<br>(229 – 3037)<br><br>0.89<br>(0.28 – 3.71) | 4171<br>(1449 – 16846)<br><br>5.10<br>(1.80 – 20.60) | 22019<br>(6764 – 109046)<br><br>26.90<br>(8.00 – 133.00) | 769<br>(211 – 3,925)<br><br>0.94<br>(0.26 – 4.80) | 21192<br>(6481 – 105641)<br><br>25,89<br>(8.00 – 129.00) |

\*per 100,000

## Figures

Figure 1: Modelling true annual incidence of O157 and non-O157 STEC illness in Germany based on notification data of Haemolytic Uremic Syndrome (HUS)

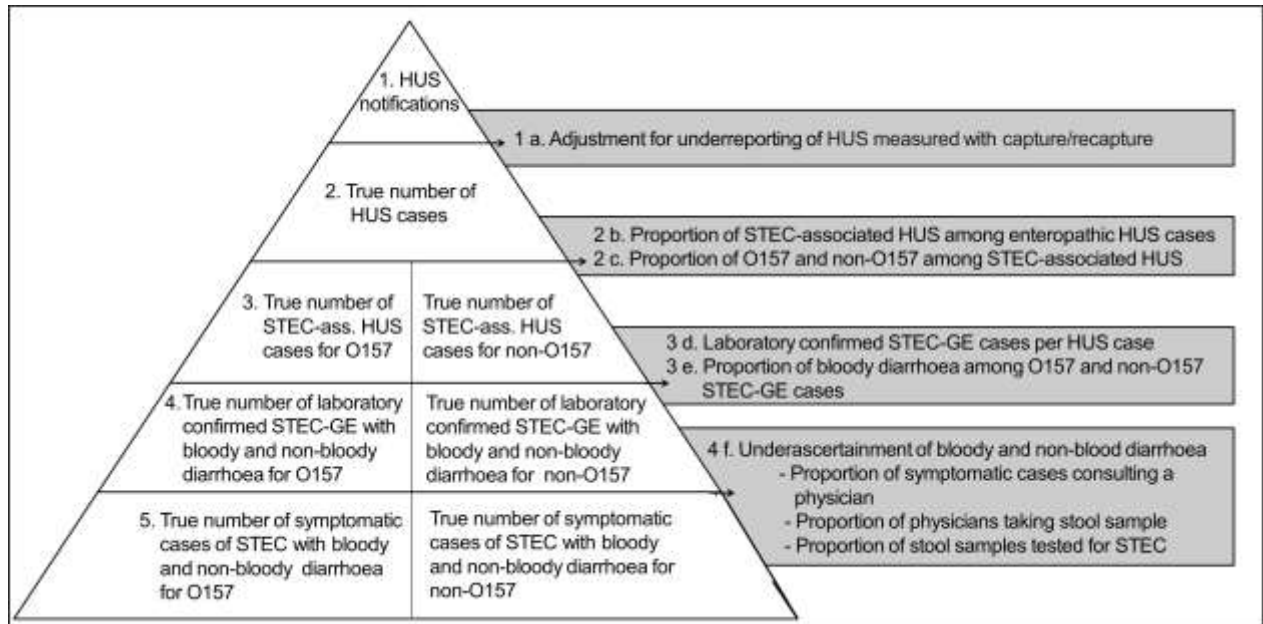
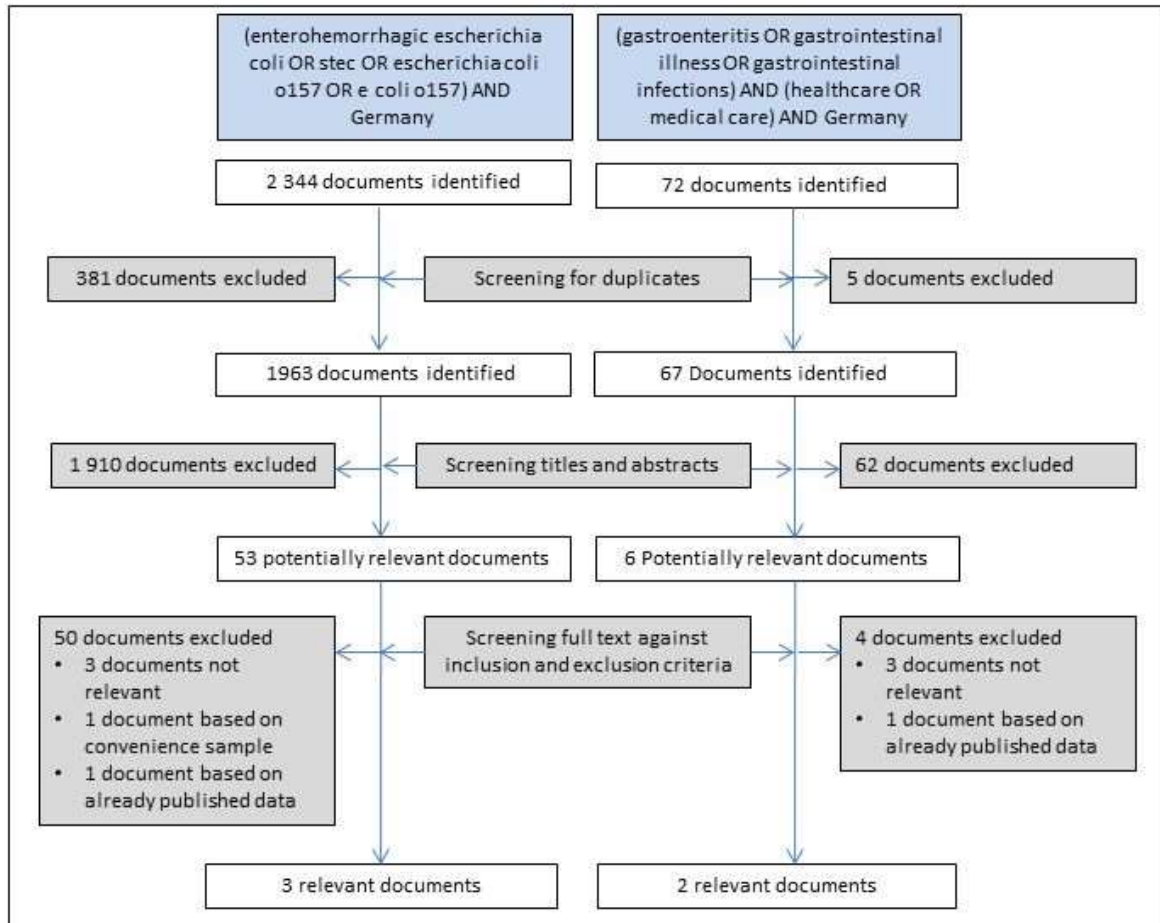
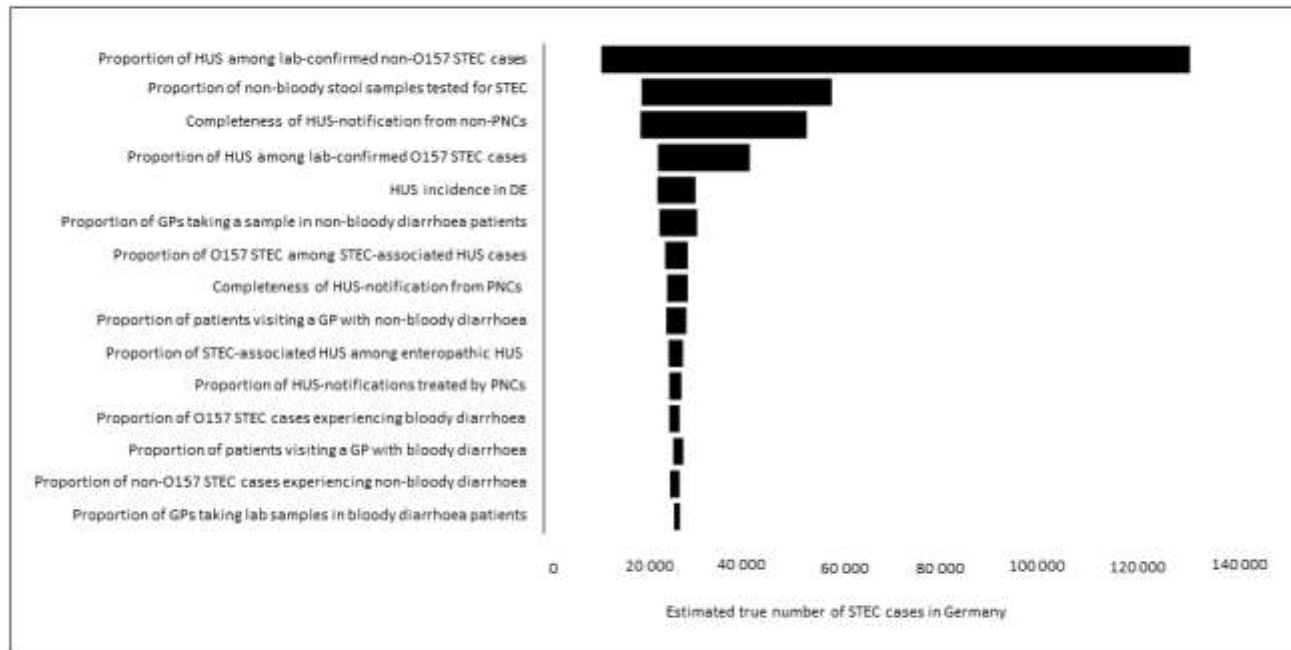


Figure 2: Results of the systematic review to identify input parameters for the estimation of the true incidence of O157 and non-O157 STEC illness in Germany based on notification data of Haemolytic Uremic Syndrome (HUS)



**Figure 3: Sensitivity analysis of influence of input parameters on frequency of STEC-GE in Germany based on notification data of Haemolytic Uremic Syndrome (HUS)**





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