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Originally published as:

Sandford, G.R., Schumacher, U., Ettinger, J., Brune, W., Hayward, G.S., Burns, W.H., Voigt, S. Deletion of the rat cytomegalovirus immediate-early 1 gene results in a virus capable of establishing latency, but with lower levels of acute virus replication and latency that compromise reactivation efficiency (2010) Journal of General Virology, 91 (3), pp. 616-621.

DOI: 10.1099/vir.0.016022-0

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1 **Deletion of the rat cytomegalovirus immediate early 1 gene results in a virus capable**
2 **of establishing latency but with lower levels of acute virus replication and latency**
3 **that compromise reactivation efficiency**

4
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15 **Summary word count: 150**

16 **Main Text word count: 2500**

17
18 **Summary.**

19 The IE1 and IE2 proteins encoded by the major immediate-early (MIE) transcription unit
20 of cytomegaloviruses are thought to play key roles in the switch between latent and lytic cycle
21 infection. Whilst IE2 is essential for triggering the lytic cycle, the exact roles of IE1 have not
22 been resolved. An MIE-exon 4 deleted rat cytomegalovirus (Δ IE1) failed to synthesize the IE1
23 protein and did not disperse promyelocytic leukemia bodies (PML) early post-infection, but it
24 was still capable of normal replication in fibroblast cell culture. However, Δ IE1 had diminished

25 ability to infect salivary glands persistently *in vivo* and to reactivate from spleen explant cultures
26 *ex vivo*. Quantitation of viral genomes in spleens of infected animals revealed a reduced amount
27 of Δ IE1 virus produced during acute infection, suggesting a role for IE1 as a regulator in
28 establishing a chronic or persistent infection, rather than in more directly influencing the latency
29 or reactivation processes.

30

31

32 **Main Text.**

33 The cytomegaloviruses (CMV) are a large family of β -herpesviruses that are extremely
34 species specific. While the initial infection is usually asymptomatic, the virus can become latent
35 in multiple organs and reactivate during periods of immunosuppression. Like other herpesviruses,
36 the CMV's display an ordered cascade of gene expression during productive infection (Mocarski
37 *et al.*, 2007). The immediate early (IE) genes are expressed shortly after viral entry into the cell
38 and the major immediate early (MIE) mRNA is expressed in the presence of cycloheximide *in*
39 *vitro*. The IE proteins appear to regulate the expression of many downstream viral genes and are
40 believed to be critical for reactivation processes following the transcriptional activation of the
41 MIE CMV region (Stinski & Isomura, 2008).

42 The structural organization of the MIE regions of human CMV (HCMV), African green
43 monkey CMV (SCMV), murine CMV (MCMV), and the rat CMVs (RCMV) are very similar to
44 each other (Meier & Stinski, 2006; Sandford *et al.*, 1993). An enhancer region lies upstream of
45 two spliced genes which share an untranslated leader sequence (exon 1), exon-2 which contains
46 the first ATG, and exon-3. Two proteins are expressed from the MIE locus. In HCMV and
47 RCMV, these are IE1 and IE2, and IE1 and IE3 in MCMV. Thus exon-4 is spliced to exon 2/3 to
48 form IE1 while alternate splicing of exon-5 to exon 2/3 forms IE2 (IE3 in MCMV, respectively;

49 see Fig. 1a) (Keil *et al.*, 1987; Messerle *et al.*, 1992; Sandford *et al.*, 1993; Stinski *et al.*, 1983).
50 No viable IE2/IE3 deletion mutants of any CMV have been isolated, suggesting that IE2/IE3 is
51 absolutely essential for viral replication even in cell culture (Angulo *et al.*, 2000; Marchini *et al.*,
52 2001; White *et al.*, 2004), but IE1 is generally considered non-essential in cell culture, especially
53 at high MOI.

54 Prevention of virus latency or reactivation could provide potential attractive targets for
55 therapeutic intervention, but at present very little is known about these processes in CMV. Partly
56 because of the high levels of CpG-suppression of IE1 exon-4 but not of the IE2 exon-5 coding
57 regions compared to the rest of the viral genome (Honest *et al.*, 1989), and because of its
58 association with metaphase chromosomes, which resembles a feature of EBV EBNA1 (Lafemina
59 *et al.*, 1989), the HCMV IE1 protein has at various times been proposed to be involved in the
60 establishment of or reactivation from latency. To study the influence of IE1 in this context,
61 several deletion mutants have been constructed and analyzed. Both partial and complete IE1 exon
62 4 deletion mutants of HCMV have been described (Ahn *et al.*, 1998; Greaves & Mocarski, 1998;
63 Huh *et al.*, 2008; Lee *et al.*, 2004; Mocarski *et al.*, 1996). They are characterized by defective
64 replication at a low multiplicity of infection (MOI) in primary human fibroblasts, a slower lytic
65 replication cycle especially in PML-over-expressing cells, greatly reduced ability to form or
66 spread as plaques after DNA transfection, increased apoptosis, and a greater susceptibility to
67 inhibition by beta-interferon, but none of these have or could be assessed in vivo in human hosts
68 or animal models.

69 Rodent CMVs have long provided well-established animal models to study latency and
70 reactivation in vivo (Reddehase *et al.*, 2002; Reddehase *et al.*, 2008). In analogy to HCMV, an
71 MCMV IE1 deletion mutant has been constructed that replicates similar to wild-type (WT) virus
72 at both high and low MOI in vitro, but is attenuated in vivo (Ghazal *et al.*, 2005). Here, we

73 investigated the influence of IE1 on RCMV (English isolate) replication in vitro and in vivo as
74 well as its ability to reactivate ex vivo.

75 Despite the similarity in overall MIE region structural organization, there is little
76 homology (just 15% identity) at the predicted amino acid level between human, old world
77 primate and rodent CMV IE1 proteins. The major conserved feature in exon 4 is a glutamate rich
78 domain found toward the C terminus in all versions. Therefore, they could play very different
79 roles or act through very different mechanisms. To examine the role of IE1 during RCMV
80 replication, we constructed an IE1 mutant virus with a completely deleted exon 4 (Δ IE1ex4).
81 Virion DNA of a previously described recombinant RCMV with the endogenous RCMV
82 enhancer replaced by the MCMV enhancer preceded by a lox-lacZ-lox cassette (Sandford *et al.*,
83 2001) was co-transfected with a transfer vector lacking exon 4 (Fig. 1b) into rat embryo
84 fibroblast (REF) cells and providing IE1 complementation in trans. Homologous recombination
85 in REF cells resulted in the loss of the lacZ expression cassette and thus in white plaques, and
86 also in reconstitution of the endogenous RCMV enhancer (Fig. 1b). A revertant virus (RevIEex4)
87 was also constructed as a control for the absence of other inadvertent genetic defects by co-
88 transfection of virion DNA with the revertant transfer vector as above except that screening was
89 for β -galactosidase-positive virus. RevIE1ex4 was passaged through a REF cell line expressing
90 the Cre protein, which resulted in the loss of the lacZ cassette with one loxP site remaining in the
91 virus. Both recombinant viruses were purified by limiting dilution and analyzed by Southern blot
92 (Fig. 1c) and DNA sequencing of the MIE region. Relative total virion DNA/pfu ratios were
93 measured to show that Δ IE1ex4 does not have many more non-infectious particles than WT and
94 that adjacent exons are unaffected (Fig. S1 and S2).

95 To verify that the lack of exon 4 resulted in the lack of IE1 protein expression in infected
96 cells at low MOI, we performed Western blot analysis using a polyclonal rabbit antiserum that

97 recognizes a domain within exon 3 of both IE1 and IE2. Thus, the 68-kDa IE2 protein could be
98 detected at normal levels, but as expected Δ IE1ex4 failed to express any of the 92-kDa IE1
99 protein (Fig. 1d). Next we compared growth characteristics of Δ IE1ex4 with WT RCMV and
100 RevIE1ex4 in fibroblast tissue culture. As has been shown for MCMV (Ghazal *et al.*, 2005),
101 Δ IE1ex4 replicated with almost identical efficiency to WT and RevIE1ex4 viruses at both low
102 and high MOI in this highly permissive cell type (Fig. 1 e and f).

103
104 The dispersion of promyelocytic leukemia (PML) nuclear bodies is thought to increase
105 viral transcription efficiency. Both the HCMV and MCMV IE1 proteins are responsible for the
106 disruption of PML-associated nuclear bodies very early after infection (Ahn *et al.*, 1998; Ahn &
107 Hayward, 1997; 2000; Ghazal *et al.*, 2005; Nevels *et al.*, 2004; Tang & Maul, 2003; Tavalai *et*
108 *al.*, 2006; Wilhelmi *et al.*, 2008; Wilkinson *et al.*, 1998; Xu *et al.*, 2001). To reveal a possible
109 role for RCMV IE1 in PML disruption, REF cells were either mock-infected or infected with WT
110 RCMV, Δ IE1ex4, or RevIE1ex4. PML bodies were detected by a polyclonal antiserum (Ahn *et*
111 *al.*, 1998) 8 hrs post-infection and visualized by fluorescent microscopy (Fig. 2a). Whereas both
112 WT and RevIE1ex4 dispersed PML bodies normally, Δ IE1ex4 did not. Both IFA and Western
113 blotting confirmed that there was no change in the pattern or levels of expression of the IE2
114 protein in typical punctate pre-RC structures from the mutant virus. Therefore, since Δ IE1ex4
115 replicated efficiently in fibroblast cell culture, it seems that the dispersion of PML is not a
116 prerequisite for RCMV to replicate in vitro.

117 In the rat animal model, WT virus becomes detectable in the salivary gland (by direct
118 virus culture) by two weeks post-infection where it persists for at least 12 weeks thereafter, but is
119 cleared from the spleen by one week post-infection before latency is established (not directly
120 culturable). To investigate IE1 biological function in vivo, we compared infections of rats with

121 WT, Δ IE1ex4, and RevIE1ex4, respectively, using 1×10^7 PFU administered intraperitoneally.
122 We monitored acute infection by harvesting spleens at 3 days and salivary glands at 16 days post-
123 infection. In spleens, all viruses could be detected in the infected animals by a direct plaque assay
124 (Table 1). However, virus could be detected in all salivary glands of animals infected with either
125 WT or RevIE1ex4, whereas only 5 out of 8 animals infected with Δ IE1ex4 were positive with a
126 ten-fold decrease in viral plaques. Thus, Δ IE1ex4 can replicate normally in the spleen during
127 acute infection and can spread to the salivary gland but appears to have reduced replication
128 efficiency. Next, we investigated virus persistence in spleen and salivary gland explants. As
129 expected, neither virus could be detected by direct plaque assay at 120 days post-infection in the
130 spleen. In salivary glands, both infectious WT and RevIE1ex4 virus were detectable in all 12
131 animals, but directly infectious Δ IE1ex4 virus was only detected in 4 out of 12 animals.

132 In our infected adult rat model, we define latency as resolution of productive infection on
133 the organismal level, such that virus cannot be detected by direct plaque assay culture, but can
134 still be detected as stable low levels of DNA and by explant co-cultivation; however, latency in
135 any organ can coexist with productive infection in the salivary glands, as shown in the mouse
136 model (Simon *et al.*, 2006). To examine whether IE1 plays a role in reactivation from latency in
137 explants at 120 days post-infection, spleens were excised and small pieces were co-cultured on
138 REF cells. Whereas spleens from all 12 WT and RevIE1ex4 virus infected animals consistently
139 reactivated after two weeks of culture, only one of 12 spleens from Δ IE1ex4-infected animals
140 reactivated three weeks after explant. Nevertheless, 6/6 of these spleens tested contained mutant
141 viral DNA as detected by PCR (Fig S3). Therefore, Δ IE1ex4 can become latent in the spleen, but
142 demonstrates a reduced ability to reactivate. This points to a substantial, nevertheless non-
143 essential role for IE1 in reactivation (since virus could be recovered from one spleen) from

144 latency *ex vivo*, as has recently been reported for an MCMV IE1 deletion mutant (Busche *et al.*,
145 2009).

146 Mock-infected animals were negative for infectious virus at all time points. In addition,
147 DNA was isolated from infected spleens harvested 120 days post-infection and measured for
148 latent DNA levels with an RCMV IE1-specific probe by quantitative PCR methods described
149 previously (Voigt *et al.*, 2007). Each sample was measured in duplicate and absolute quantities
150 were calculated using a calibration curve of serial dilutions of subcloned plasmids containing IE1
151 or c-myc DNA fragments. Total viral DNA levels were normalized by calculating the IE1/100
152 copies c-myc-ratio. For both the WT and RevIE1ex4 virus, ratios ranged between 0.03-6.2 for
153 wt-infected and 0.08-6.7 for RevIE1ex4-infected animals. In contrast, ratios for animals infected
154 with Δ IE1ex4 ranged from 0.005-0.04 (Fig. 2b). Therefore, Δ IE1ex4 evidently can become latent
155 in the spleen, but its ability to reactivate is severely compromised. Whereas, the overall effect of
156 restricted reactivation must be caused by the deletion in IE1, it is not necessarily related to any
157 direct qualitative deficiency of the mutant viral genomes present to become latent or to reactivate,
158 but may rather simply reflect the lower quantity of mutant virus present. Less mutant virus was
159 detectable in the spleen, and therefore the chance of it being reactivated is likely to be small
160 (Reddehase *et al.*, 1994). The IE1 deletion also results in an acute replication deficit which is in
161 accordance with a lower titer in the salivary gland at day 16 post-infection. But because Δ IE1ex4
162 is attenuated *in vivo*, the infection conditions likely did not provide equal levels of multiplication
163 of the viruses, and thus do not produce an equivalent viral genome load during latency.

164
165 To exclude a contamination with WT or RevIE1ex4 virus in this one reactivated animal
166 and to see if the reduced acute replication *in vivo* plus reduced reactivation of *ex vivo* Δ IE1ex4
167 was related to the lack of IE1 protein expression, viruses were isolated from salivary glands that

168 had been persistently infected, as well as from spleens from which virus had been reactivated ex
169 vivo. Recovered viruses were grown on REF cells and lysates were analyzed by Western blot
170 assays. Again only 68-kDa IE2 but not 92-kDa IE1 protein was detected (Fig. S4).

171
172 Overall, our results indicate greatly reduced levels of acute replication by RCMV lacking
173 the IE1 gene in the in vivo model in the salivary gland, which evidently leads to much lower
174 levels of stably maintained latency and of reactivation in the spleen. The results are consistent
175 with the findings of reduced virulence and lower virus titers for MCMV lacking IE1 in adult
176 BALB/c and SCID mice (Ghazal *et al.*, 2005), although they did not address latency or
177 reactivation. Similar findings were also recently described in a newborn mouse model (Busche *et*
178 *al.*, 2009). However, using 10 to 100-fold higher compensatory levels of MCMV lacking IE1
179 compared to wild-type controls to generate equal levels of established latency, the latter authors
180 measured stable latency and used three distinct criteria for reactivation (including lung explants),
181 but found no evidence for a role of IE1 in these two latter aspects of MCMV biology. Therefore,
182 we can only conclude that there is a strong positive effect of IE1 on acute infection levels in vivo,
183 whereas it is quite plausible that RCMV Δ IE1ex4 (like MCMV) has no defect in the ability to
184 maintain or reactivate from latency.

185

186

187 **Acknowledgements**

188 We thank Franziska Bührdel for technical assistance.

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192 **Figure and Table legends.**

193
194 Fig. 1. Construction and characterization of recombinant viruses. (A) Structural
195 organization of the MIE region of RCMV. The MIE enhancer (Enh) drives the two main
196 IE transcripts, IE1 and IE2, by alternative splicing. (B) Isolation of mutant viruses
197 following homologous recombination in REF/IE1 cells. Recombinant viruses were
198 analyzed by *Hind*III digest, southern blot (using a probe directed against exon 4 (C)) and
199 sequencing. The deletion of the IE1 protein was confirmed in Western blot analysis using
200 a polyclonal antiserum directed against a peptide within exon 3 of the RCMV MIE region,
201 and therefore detects both the IE1 and IE2 proteins (D). For comparison of growth
202 capacities, one-step (MOI 0.01; E) and multi-step (MOI 5; F) growth curves were done
203 with WT RCMV, Δ IE1ex4, and RevIE1ex4.

204
205 Fig. 2. Analysis of IE1 function in fibroblast cell culture and in vivo. (A) IE1 is needed
206 for disruption of PML bodies at 8-h after infection of REF cells. REF cells were either
207 mock-infected or infected with WT RCMV, Δ IE1ex4, or RevIE1ex4 with an MOI of 3
208 and subjected to double-label IFA with polyclonal antisera against IE1/IE2 exon3 (FITC,
209 green) and PML (rhodamine, red). Nuclei were stained with DAPI (blue). IE2 was
210 detected as punctate pre-RC domains in all three viruses. (B) Quantitative PCR of DNA
211 extracted from latently infected rat spleens 120 days after infection with WT RCMV,
212 Δ IE1ex4, and RevIE1ex4.

213
214 Table 1. Detection of WT RCMV, Δ IE1ex4, and RevIE1ex4 in Sprague Dawley rats at
215 different time points after infection. Acute infection was monitored in spleens 3 days post-

216 infection (6 animals each) and in salivary glands 16 days post-infection (8 animals each).
217 WT virus and RevIE1ex4 could be detected in all spleens and salivary glands, whereas
218 Δ IE1ex4 was only detectable in 5 out of 8 salivary glands with an approx. 10-fold lower
219 amount of virus present. Δ IE1ex4 was also found in only 4 out of 12 animals in salivary
220 glands 120 days post-infection In contrast to WT virus and RevIE1ex4, Δ IE1ex4 could
221 only be reactivated from 1 out of 12 spleens ex vivo.
222

222

223 **Supplementary Figure legends.**

224 Fig. S1. Southern blot analysis (using the DIG system, Roche) to measure the equivalency
225 of pfu/particle ratios. Equal pfu input from sucrose banded viruses were analyzed by
226 *HindIII* digest and hybridized using a 550-bp probe directed against the e128 gene that is
227 located in the adjacent 7.2 kb RCMV Hind J fragment.

228

229 Fig. S2. PCR analysis to verify the integrity of exons 3 and 5 in Δ IE1ex4. Primers located
230 in exons 3 and 5, spanning a region of 1.9 kb in the wild-type and revertant viruses and
231 390-bp in the mutant virus, were used for virion DNA amplification and sequencing. H₂O
232 was used as a negative control.

233

234 Fig. S3. PCR analysis to detect viral DNA in animals infected with Δ IE1ex4. Splenic
235 DNA was extracted from six rats and amplified with primers described in Fig. S2. As a
236 positive control, recombinant (Δ IE1ex4) virion DNA was amplified. DNA from a mock
237 (m) infected spleen could not be amplified, and splenic DNA from a WT-infected animal
238 revealed a 1.9 kb band (not shown).

239

240 Fig. S4. Representative Western blot of virus isolated from salivary gland or reactivated
241 from spleen from persistently (120 days) infected animals. Salivary glands (SG) and
242 spleens (S) were harvested from rats infected with WT, Δ IE1ex4 or RevIE1ex4 viruses
243 120 days post-infection. REF: mock infected REF cells. M: mock infected salivary gland.
244 WT: wild-type RCMV infected spleen.

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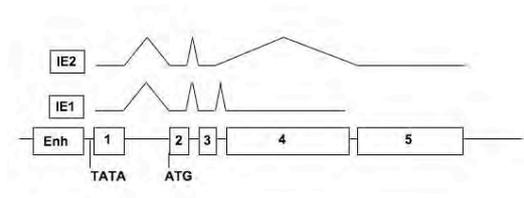
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345 associated nuclear bodies mediated by the human cytomegalovirus major immediate early
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350 cytomegalovirus immediate-early protein IE1 to inhibit PML-mediated transcriptional
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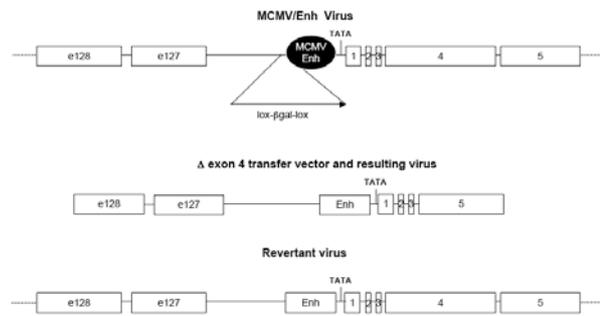
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353

Figure 1

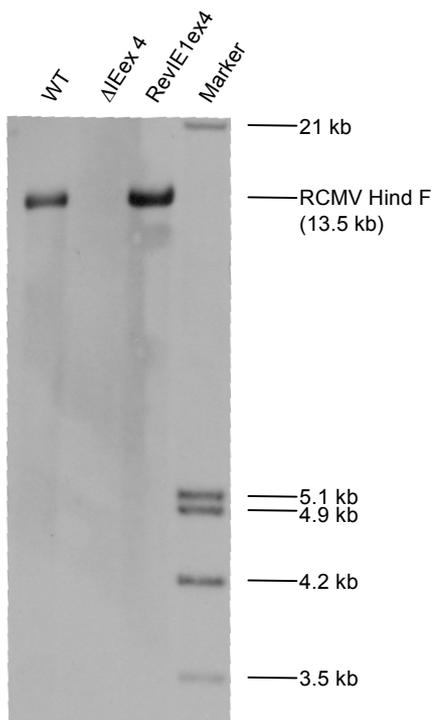
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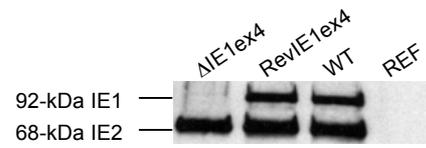
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C



D



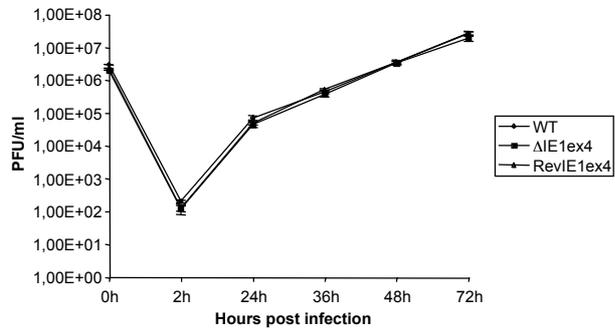
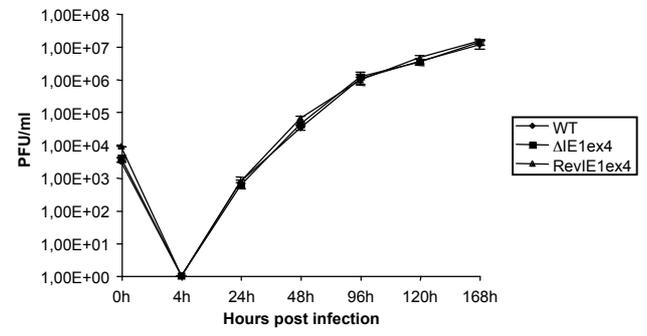
E**F**

Figure 2A

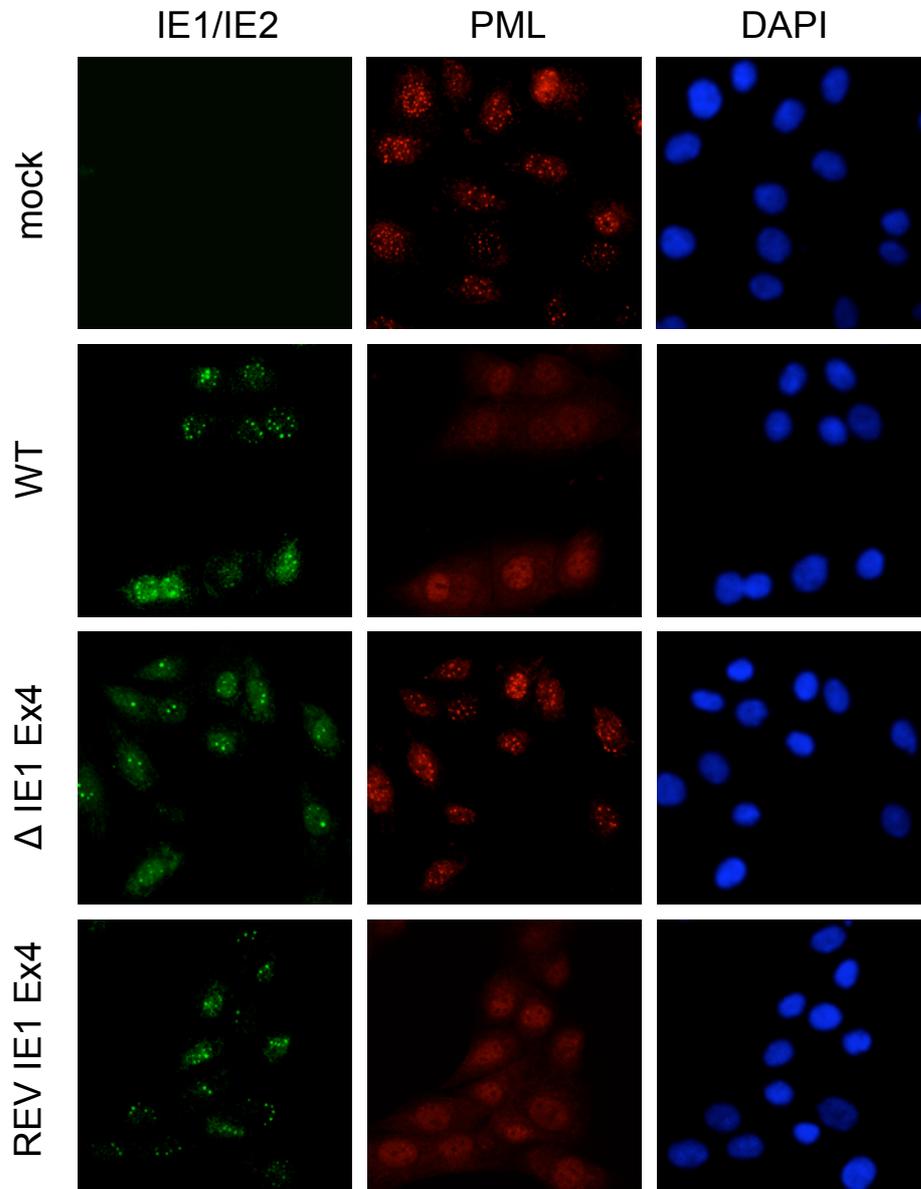


Figure 2B

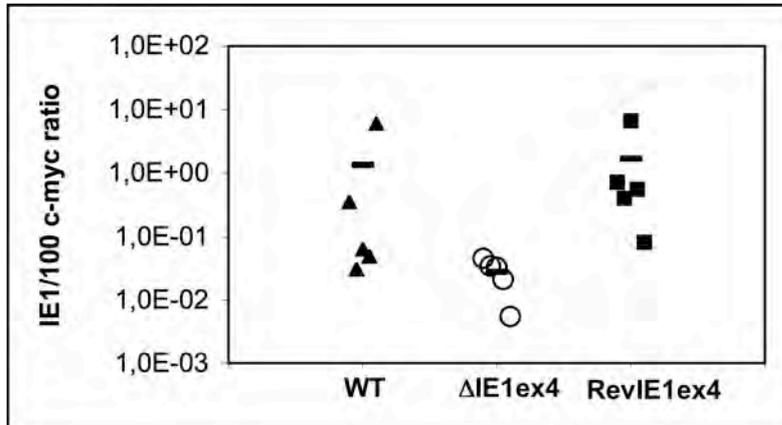


Table 1

Acute infection	WT	RevIE1ex4	ΔIE1ex4
Spleen day 3	6/6	6/6	6/6
Salivary gland day 16	8/8	8/8	5/8*
Persistent virus 120 days p.i.			
Spleen	0/12	0/12	0/12
Salivary gland	12/12	12/12	4/12
Reactivated virus 120 days p.i.			
Spleen	12/12	12/12	1/12

* approx. 10-fold less virus than WT